Biophysical basis for inner ear decompression sickness

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Doolette, David J. and Simon J. Mitchell. Biophysical basis for inner ear decompression sickness. J Appl Physiol 94: 2145–2150, 2003. First published January 31, 2003; 10.1152/japplphysiol.01090.2002.—Isolated inner ear decompression sickness (DCS) is recognized in deep diving involving breathing of helium-oxygen mixtures, particularly when breathing gas is switched to a nitrogen-rich mixture during decompression. The biophysical basis for this selective vulnerability of the inner ear to DCS has not been established. A compartmental model of inert gas kinetics in the human inner ear was constructed from anatomical and physiological parameters described in the literature and used to simulate inert gas tensions in the inner ear during deep dives and breathing-gas substitutions that have been reported to cause inner ear DCS. The model predicts considerable supersaturation in the membranous labyrinth and endolymph after switching to a nitrogen-rich breathing mixture during the initial phase of a conventional decompression. Counterdiffusion of helium and nitrogen from the perilymph may produce supersaturation in the membranous labyrinth and endolymph after switching to a nitrogen-rich breathing mixture even without decompression. Conventional decompression algorithms may result in inadequate decompression for the inner ear for deep dives. Breathing-gas switches should be scheduled deep or shallow to avoid the period of maximum supersaturation resulting from decompression.

INJURY TO THE VESTIBULOCOCHLEAR apparatus (“inner ear”) during compressed gas diving may be caused by barotrauma, by acoustic trauma, or by decompression sickness (DCS). Retrospective reviews of DCS cases in both military (9) and recreational divers (16) suggest that 26% of patients suffering “serious” or “neurological” DCS exhibit evidence of vestibulocochlear involvement. These presentations are significant because residual deficits in balance and in hearing are common despite recompression treatment, and the window of opportunity for optimal treatment appears relatively short (3).

The putative cause of inner ear decompression sickness (IEDCS) is bubble formation initiated when the tension (concentration/solubility) of dissolved gas exceeds ambient pressure within the inner ear during ascent. However, beyond this presumed involvement of bubbles, there is uncertainty regarding their precise location and effects or the circumstances under which they are likely to form. Early descriptions of IEDCS after shallow dives often treated ear problems as being of secondary importance to the other central nervous system manifestations of DCS that were almost invariably present. However, the development of deeper diving techniques involving breathing of helium-oxygen gas mixtures has been associated with the occurrence of “pure” or “isolated” IEDCS (7), especially when switches to air or other nitrogen-rich breathing gas mixtures are made to accelerate decompression (3). Indeed, isobaric switches of inspired gas, made while the diver is held at a constant ambient pressure, have precipitated symptoms of IEDCS in the absence of any decompression (10).

This selective vulnerability of the inner ear to DCS under these conditions has been attributed to substantial transfer of highly diffusible helium into the inner ear from the middle ear gas space by diffusion across the round window membrane (7, 10). Gas uptake from the middle ear, in addition to that absorbed from the blood, may predispose the inner ear to bubble formation during decompression. Bubble formation might be enhanced or even initiated by elevated inner ear gas tension resulting from “counterdiffusion” of different gas species of different diffusivity from the blood and middle ear.

We are not aware of any attempts to more formally define the biophysical mechanisms of IEDCS, yet this is an issue of increasing contemporary relevance. Whereas many of the occupational diving tasks that necessitate deep mixed-gas diving have now been devolved to remote operated vehicles, there is a new cohort of so-called “technical” recreational divers who are indulging in deep mixed-gas “bounce” (short duration, nonsaturation) dives in growing numbers. Not surprisingly, reports of isolated IEDCS are starting to emerge from this group.

We present here an illustrative case of isolated IEDCS occurring in a recreational technical diver undertaking a mixed-gas dive. We then present a physiological model describing gas kinetics in the inner ear that demonstrates a basis for selective vulnerability of the inner ear to DCS in mixed-gas diving. Finally, on the basis of this model, we outline some precautions that may be taken in deep mixed-gas bounce diving to avoid precipitating IEDCS.

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A 33-yr-old male recreational technical diver who had previously made >1,000 uneventful dives undertook a wreck dive to 110 m sea water (msw; 1,201 kPa), 11.9 atmospheres absolute (atm abs), for 25 min using a Buddy Inspiration closed-circuit rebreathing SCUBA (A.P. Valves, Helston, Cornwall, UK). The diluent gas for the descent, bottom time, and the first part of the ascent was trimix comprising 8% oxygen-60% helium-32% nitrogen. The diluent was changed to air at 30 msw during the ascent, and the breathing loop was flushed with 100% oxygen at the 4.5 msw stop. The Po2 set point was 1.3 atm throughout the dive except at the 4.5 msw stop. The dive plan is shown in Fig. 1, and the diver did not deviate from this plan. The decompression was controlled by using a VR3 diver-carried computer (Delta P Technology, Poole, Dorset, UK) in closed-circuit mixed-gas mode. These devices operate on the Proplanner decompression algorithm software (Delta P Technology, Poole).

The descent, bottom time, and first part of the ascent were uncomplicated. In particular, there were no problems with middle ear equalization. There were no ascent-rate violations, and no decompression stops were omitted. Shortly after arrival at the 9 msw stop, the diver began to experience rotational vertigo. This occurred in short bursts at first but soon progressed to become persistent. The Po2 in the rebreather loop at the time was 1.3 atm according to all three oxygen sensors. Breathing 50% oxygen-50% nitrogen by use of an open-circuit SCUBA did not alleviate the problem, and the decompression was continued on the rebreather. The diver became profoundly nauseated and could not keep his eyes open because of the vertigo. He had to alternate between open-circuit SCUBA and the rebreather to vomit. Despite these debilitating symptoms, he was able to complete the planned decompression stops.

The diver was retrieved onto the boat, given 100% oxygen by demand-valve regulator, and evacuated to the nearest recompression chamber, where he arrived 4 h after the onset of symptoms. At this time, his vertigo had settled substantially but he remained nauseated. It was still possible to elicit nystagmus on positional testing, and he was incapable of performing the Sharpenned Romberg Test. An air-conduction audiogram revealed normal and symmetrical hearing. There were no other symptoms or signs of DCS or middle ear barotrauma. This appeared to be a case of isolated inner ear (vestibular) DCS.

The diver was recompressed to 4 atm abs and treated according to the protocol specified by the Royal New Zealand Navy Table 1A, which is a modification of the US Navy Air Treatment Table 1A (22), whereby the patient breathes 50% oxygen-50% helium until decompressed to 1.9 atm abs and breathes 100% oxygen thereafter. This elicited a substantial improvement, but there was persistent mild disequilibrium for several days after. The diver received three once-daily follow-up treatments consisting of 100% oxygen breathing for 60 min at 2.8 atm abs and throughout a 30-min decompression to 1 atm abs. He has recovered completely (including normalization of his Sharpenned Romberg Test) and has made an uneventful return to diving.

**METHODS**

**Physiological model of inner ear inert gas kinetics.** A physiological compartmental model of inert gas kinetics in the human inner ear (Fig. 2) was constructed from parameters in the literature (Table 1). Three well-stirred compartments represented the membranous labyrinth, the perilymph, and the endolymph. Inner ear uptake and washout of inert gases by perfusion with blood. Double-headed arrows indicate directions of flows of inert gases by diffusion. P_a (arterial blood inert gas tensions) and P_me (middle ear inert gas partial pressures) represent the model inputs.
vascular (14). Additionally, inert gases diffused between the middle ear gas space and perilymph across the round window membrane, whereas the oval window membrane was considered occluded by the ossicles. Within the inner ear, inert gas diffusion between the vascular compartment and each labyrinthine fluid compartment. Diffusion-limiting membranes of zero volume notionally separated the compartments and the middle ear; the flows of inert gases across these membranes (Table 2) were formulated to reflect a slab approximation to the diffusion geometry \( A/h_t \) (Table 1) of the labyrinthine fluid compartments. Diffusivities and solubilities of nitrogen and helium in blood, tissue, and labyrinthine fluids were characteristic of aqueous tissues. This compartmental approximation of diffusion does not consider transient inert gas tension gradients within the tissues represented by the compartments but provides an effective first-order approximation to the volume-averaged inert gas tensions (20). Model input comprised arterial inert gas tensions and middle ear inert gas partial pressures, both being considered to equilibrate instantly with inspired inert gas partial pressures adjusted for water vapor pressure at 37°C.

Not all parameter values for the human inner ear model were available in the literature, and some were estimated or scaled from animal data. Blood flow was scaled up from measurements made on guinea pig inner ear (17), which is one-tenth the volume of the human inner ear (8, 21). Vascular compartment volume was derived from blood flow by using values of tissue perfusion (ml·100 g⁻¹·min⁻¹) for rat cochlea and vestibular sensory organs (13). Doubling or halving these two parameters resulted in only small quantitative but not qualitative differences in model simulations. The diffusion geometry \( A/h_t \) (Table 1) of the labyrinthine fluid compartments was based on modeling the endolymph and perilymph volumes as being contained in concentric tubes of 0.025 \( (h_{end}) \) and 0.058 cm \( (h_{per} + h_{end}) \) radius, respectively, separated by the membranous labyrinth tissue, which has a surface area of \( A_{vas} \) on each face. The diffusion distance from the round window \( (h_{rw}) \) was based on the radius of a sphere of inner ear volume \( (V_{end} + V_{per} + V_{vas}) \).

The model was written as the following ordinary differential equations for each inert gas

\[
S \times V_{vas} \frac{dP_{vas}}{dt} = Q \times S \times (P_{vas} - P_{end}) - PSA_{per} \\
\times (P_{vas} - P_{per}) - PSA_{end} \times (P_{vas} - P_{end}) \\
S \times V_{per} \frac{dP_{per}}{dt} = PSA_{per} \times (P_{vas} - P_{per}) \\
- PSA_{rw} \times (P_{per} - P_{me}) \\
S \times V_{end} \frac{dP_{end}}{dt} = PSA_{end} \times (P_{vas} - P_{end})
\]

where \( P_{vas}, P_{per}, P_{end}, \) and \( P_0 \) are the vascular, perilymph, endolymph, and arterial blood inert gas tensions, \( P_{me} \) is the middle ear inert gas partial pressure, \( PSA = D \times S \times A/h_t \) are the relevant flow values given in Table 2, and all other abbreviations are defined in Table 1. Simulations were performed by using Scientist for Windows [computer program] (version 2.01. Salt Lake City, UT: Micromath; 1995).

**Validation of the model.** There are no measurements of inner ear inert gas kinetics to validate the model against; however, oxygen, which has similar diffusivity to nitrogen, has been measured in the labyrinthine fluids by use of polarographic electrodes. One such report gives the initial slope of the decline of oxygen tension in the guinea pig cochlea (version 2.01). Salt Lake City, UT: Micromath; 1995).

Table 1. Model parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
<th>Value</th>
<th>Reference</th>
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</thead>
<tbody>
<tr>
<td>( V_{end} )</td>
<td>Endolymph compartment volume</td>
<td>0.0388 ml</td>
<td>8</td>
</tr>
<tr>
<td>( V_{per} )</td>
<td>Perilymph compartment volume</td>
<td>0.166 ml</td>
<td>8</td>
</tr>
<tr>
<td>( V_{vas} )</td>
<td>Vascular compartment volume</td>
<td>0.070 ml</td>
<td>*</td>
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<tr>
<td>( Q )</td>
<td>Inner ear blood flow</td>
<td>0.00036 ml/s</td>
<td>*</td>
</tr>
<tr>
<td>( A_{vas} )</td>
<td>Vascular compartment surface area</td>
<td>3 cm²</td>
<td>*</td>
</tr>
<tr>
<td>( A_{rw} )</td>
<td>Round window surface area</td>
<td>0.022 cm²</td>
<td>18</td>
</tr>
<tr>
<td>( h_{end} )</td>
<td>Diffusion distance</td>
<td>0.025 cm</td>
<td>*</td>
</tr>
<tr>
<td>( h_{per} )</td>
<td>Diffusion distance</td>
<td>0.033 cm</td>
<td>*</td>
</tr>
<tr>
<td>( h_{rw} )</td>
<td>Diffusion distance</td>
<td>0.4 cm</td>
<td>*</td>
</tr>
<tr>
<td>( S_{He} )</td>
<td>Helium solubility</td>
<td>0.01 ml·ml⁻¹·atm⁻¹</td>
<td>12</td>
</tr>
<tr>
<td>( S_{N2} )</td>
<td>Nitrogen solubility</td>
<td>0.015 ml·ml⁻¹·atm⁻¹</td>
<td>12</td>
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<tr>
<td>( D_{He} )</td>
<td>Helium diffusivity</td>
<td>3.94 \times 10⁻⁸ cm²/s</td>
<td>12</td>
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<tr>
<td>( D_{N2} )</td>
<td>Nitrogen diffusivity</td>
<td>1.3 \times 10⁻⁸ cm²/s</td>
<td>12</td>
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*Derived values, see text.

Table 2. Compartment time constants and flows

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<th>Time Constant</th>
<th>Formula</th>
<th>Value, s</th>
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<tr>
<td>Vascular-endolymph</td>
<td>( h_{end}/D_{N2} )</td>
<td>48</td>
</tr>
<tr>
<td></td>
<td>( h_{end}/D_{He} )</td>
<td>84</td>
</tr>
<tr>
<td>Vascular-perilymph</td>
<td>( h_{per}/D_{N2} )</td>
<td>28</td>
</tr>
<tr>
<td></td>
<td>( h_{per}/D_{He} )</td>
<td>84</td>
</tr>
<tr>
<td>Middle ear-perilymph</td>
<td>( (h_{rw} \times V_{per})/(D_{N2} \times A_{rw}) )</td>
<td>3.83 \times 10⁵</td>
</tr>
<tr>
<td></td>
<td>( (h_{rw} \times V_{end})/(D_{He} \times A_{rw}) )</td>
<td>1.26 \times 10⁵</td>
</tr>
<tr>
<td>Vascular perfusion</td>
<td>( V_{vas}/Q )</td>
<td>194</td>
</tr>
<tr>
<td>Inner ear perfusion</td>
<td>( V_{per}/Q )</td>
<td>761</td>
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</table>

<table>
<thead>
<tr>
<th>Flow</th>
<th>Formula</th>
<th>Value, ml·s⁻¹·atm⁻¹</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vascular-endolymph</td>
<td>( D_{N2} \times S_{N2} \times A_{vas}/h_{end} )</td>
<td>2.34 \times 10⁻⁵</td>
</tr>
<tr>
<td></td>
<td>( D_{He} \times S_{He} \times A_{vas}/h_{end} )</td>
<td>4.75 \times 10⁻⁵</td>
</tr>
<tr>
<td></td>
<td>( D_{N2} \times S_{N2} \times A_{vas}/h_{per} )</td>
<td>1.77 \times 10⁻⁵</td>
</tr>
<tr>
<td></td>
<td>( D_{He} \times S_{He} \times A_{vas}/h_{per} )</td>
<td>3.58 \times 10⁻⁵</td>
</tr>
<tr>
<td></td>
<td>( D_{N2} \times S_{N2} \times A_{vas}/h_{rw} )</td>
<td>1.07 \times 10⁻⁵</td>
</tr>
<tr>
<td></td>
<td>( D_{He} \times S_{He} \times A_{vas}/h_{rw} )</td>
<td>2.17 \times 10⁻⁵</td>
</tr>
<tr>
<td></td>
<td>( Q \times S_{N2} )</td>
<td>5.40 \times 10⁻⁶</td>
</tr>
<tr>
<td></td>
<td>( Q \times S_{He} )</td>
<td>3.6 \times 10⁻⁶</td>
</tr>
</tbody>
</table>
RESULTS

Simulations using the inner ear model. Figure 3 shows a simulation of the gas tensions in the inner ear model compartments during an isobaric gas switch reported to result in DCS (10). After a full-day residence at 37.4 atm abs breathing the chamber atmosphere of 0.21 atm oxygen, balance helium, with no change in ambient pressure, the subject began breathing 0.21 atm oxygen, 10 atm nitrogen, balance helium through a mask, during which severe nausea, vomiting, and vertigo developed. The breathing-gas switch results in a transient elevation of vascular compartment and endolymph gas tensions above ambient pressure; such supersaturation is required for bubble formation. The peak vascular compartment gas tension was 37.8 atm (0.4 atm supersaturation) occurring at 2 min after the gas switch.

In Fig. 3 and other simulations of isobaric breathing-gas switches after blood-tissue equilibrium, the peak change in inner ear vascular compartment gas tension from equilibrium was ~4.8% of the change in arterial nitrogen tension, expressed in terms of inspired gas for a switch from breathing gas 1 to 2 as 0.048 × (PIN2-2 − PIN2-1) × (1 − P H2O/Pam), where Pam is ambient pressure and P H2O is saturated water vapor pressure at 37°C. At equilibrium, the total tissue gas tension equals dry inspired inert gas partial pressure (Pam − P I O2) × (1 − P H2O/Pam) plus the tissue metabolic gas tensions (P TiO2 + P TiCO2 + P H2O). For an isobaric switch, peak supersaturation (total tissue gas tension − Pam) is [0.048 × (PIN2-2 − PIN2-1) − P I O2] × (1 − P H2O/Pam) + P TiO2 + P TiCO2. The peak supersaturation depends on the magnitude of the inert gas substitution and the inspired oxygen tension because tissue oxygen tension is relatively independent of inspired oxygen partial pressures used in diving.

The transient increase in gas tension after a substitution of nitrogen for helium in breathing gas results from the difference in gas transfer between compartments (Table 2). The flow of nitrogen into the vascular compartment via the arterial blood exceeds washout of helium in venous effluent. The transfer of helium into the vascular compartment by diffusion from the perilymph and endolymph exceeds the counterdiffusion of nitrogen in the opposite direction and temporarily exceeds the washout of helium in the blood.

Examination of the flows and time constants in Table 2 indicates that transfer of nitrogen and helium across the round window is negligible compared with the other transport processes. The time constant is related to the flow by V × S/flow, and by definition 99% equilibration by this process alone occurs in 4.6 time constants. Whereas the round window is only 70 μm thick (5) and likely freely permeable to inert gases, owing to the large diffusion distances through the inner ear fluid spaces, transfer of gas via this route had little effect on the inner ear compartment gas tensions over the time course of the present simulations. The time constants for transfer of inert gases between the vascular compartment and the labyrinthine fluids are sufficiently large that considerable inert gas tension gradients across endolymph and perilymph may persist over the time course of the present simulations.

Although a multicompartmental model, the gas kinetics of the entire inner ear for longer time periods can be approximated from the overall perfusion time constant, equivalent to a half time of 8.8 min (loge 2 × time constant). Similar kinetics are illustrated in Fig. 1, which shows, in addition to the depth-time profile of the dive reported in the case history, the inner ear gas tensions simulated according to the present model. This simulation indicates considerable supersaturation during the early (deep) phase of decompression. For clarity at this scale, only the vascular compartment is illustrated. This half time is quite slow compared with a well-perfused tissue such as brain, which would have a half time of 1.7 min (assuming brain blood perfusion of 40 ml·100 g −1·min −1). The magnitude of counterdiffusion effect during the switch from trimix to air diluent at 4 atm abs (51 min in Fig. 1) is modest because the partial pressure of nitrogen substituted for helium is small and is manifest only as a transient slowing of gas washout, not evident at this scale in Fig. 1. However, the breathing-gas switch from trimix to air diluent occurred while the inner ear vascular compartment was already supersaturated (1.09 atm) owing to decompression and shortly after the time of maximum supersaturation (1.7 atm) at 44 min and 4.6 atm abs.

DISCUSSION

The case described here typifies the type of technical recreational diving that is becoming increasingly wide-
IEDCS may be associated with deep diving because a considerable gas burden must be acquired to cause symptoms. The model proposed here suggests an inner ear half time (8.8 min) that allows considerable gas uptake during a deep dive and considerable supersaturation, and potentially bubble formation, in all inner ear compartments during the initial rapid phases of decompression. Many decompression algorithms, including the ZH-L16 (1) that forms the basis of many technical diving decompression algorithms including the Proplanner used in the present case report, control decompression according to the rule $P_t < W \times P_{am} + Z$, where $P_t$ is the total inert gas tension in a compartment and $W$ and $Z$ are constants. Although such rules can be empirically derived without biophysical assumptions, they have also been interpreted as describing a critical volume of released gas (bubbles) causing DCS (6). In this case, by mass balance of the amount of inert gas in the compartment immediately before and after just critical bubble formation, $W = 1 + V_c/S$ and $Z = W \times (P_c + P_{st} - P_{iO_2} - P_{iCO_2} - P_{H_2O})$, where $V_c$ is the critical volume of gas, $S$ is the solubility of inert gas in the tissue, and $P_c$ and $P_{st}$ are pressures opposing bubble formation due to tissue deformation and surface tension, respectively. Isolated IEDCS would selectively occur after deep dives and earlier than expected during decompression if the constant $W$ were small and $Z$ were large compared with the compartments responsible for other symptoms of DCS (6). It seems plausible that $V_c$ (and therefore $W$) might be small in the inner ear, which, as a sensitive transducer of mechanical energy, may be disrupted by small volumes of bubbles. Furthermore, $P_c$ (and therefore $Z$) may be large because the inner ear is composed primarily of incompressible liquid within a nondistensible bony capsule with only small canal outlets.

The association of IEDCS with helium-oxygen diving in the absence of breathing-gas switches may be coincidental because helium-oxygen breathing is standard practice for deep diving. However, IEDCS is clearly associated with switching breathing gas from helium-rich to nitrogen-rich mixtures (4, 10), and the present model suggests that such gas substitution may cause bubble formation in the membranous labyrinth and endolymph. The model implicates transient supersaturation resulting from a counterdiffusion mechanism in which helium transfer from the perilymph to the other compartments temporarily exceeds the washout of helium in the venous blood. This transient mechanism is analogous to the steady-state "counterperfusion" mechanism proposed by Hills (7). The anatomical requirements for this phenomenon are a large, diffusion-limited source of helium adjacent to a tissue with a relatively small blood supply and are provided by the perilymph surrounded by the smaller volume of vascular membranous labyrinth. Conversely, theoretical treatment of a more typical tissue arrangement such as muscle capillary unit suggest that a transient undersaturation of tissue could result from switching breathing gas from helium-rich to nitrogen-rich mixtures.
(23). It is on a similar premise that such breathing-gas switches are used to accelerate decompression.

The present model suggests diffusion of middle ear gas across the round window is negligible, although previously proposed mechanisms implicated steady-state counterdiffusion of atmospheric helium and blood nitrogen across the round window and tympanic membranes (7, 10). This previous proposal appears to have been made by analogy with the production of dermal lesions and continuous production of venous gas emboli by steady-state counterdiffusion across the skin in humans or animals exposed to a helium atmosphere while breathing a different inert gas without decompression (10).

The present model of dissolved inert gas kinetics is not a complete model of inner ear decompression; for instance, it does not explain the 50-min delay between predicted maximum supersaturation and onset of symptoms of IEDCS in the present case report. This delay may be related to the dynamics of bubble growth due to gas influx and expansion during decompression or biological processes induced by bubbles that are not considered in the present model. Nevertheless, the present physiological model can be used to deduce some qualitative implications for scheduling of technical deep diving decompression. First, the use of some decompression algorithms to plan very deep bounce dives (arbitrarily in excess of 100 msw) may result in inadequate decompression for the inner ear and may require, for instance, adjustment of W and Z constants for compartments with half times near 8.8 min as described. This would typically result in deeper decompression stops. Second, breathing-gas switches from helium-rich to nitrogen-rich mixtures will produce an oversaturation in the inner ear that increases with depth and decreasing inspired oxygen partial pressure. Such breathing-gas switches should be carefully scheduled either deep (with due consideration to nitrogen narcosis) or shallow to avoid the period of maximum supersaturation resulting from the decompression (Fig. 1). Switches should also be made during breathing of the largest inspired oxygen partial pressure that can be safely tolerated with due consideration to oxygen toxicity.

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