Respiratory mechanical effects of surgical pneumoperitoneum in humans

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PNEUMOPERITONEUM IS USUALLY induced before laparoscopic surgery using a protocol approved by our research ethics committee. Operations included cholecystectomy (2), exploratory laparoscopy, fundoplication, gastric banding (3), gastric bypass (7), gastric sleeve, herniorrhaphy, and hysterectomy (3). No subject had a history of lung or respiratory disease or any contraindication for esophageal manometry. Patients were anesthetized, pharmacologically paralyzed, intubated, and mechanically ventilated while supine and level. Airflow was measured with a Fleisch pneumotachograph and integrated later to obtain volume change. Airway pressure (Pao) was measured at the endotracheal tube, and Pes was measured using an esophageal balloon catheter, filled with 0.5 ml air, and passed to position the tip of the balloon 40–45 cm from the nares or incisors. Transpulmonary pressure (Pt) was calculated as Pt = Pao – Pes. Pressure and flow signals were digitized, recorded, and analyzed using WinDaq hardware and software (Dataq Instruments, Akron, OH).

Protocol. Measurements were made before and after insufflation of the abdomen using a clinical CO2 insufflator to maintain a peritoneal gas pressure of 15 mmHg (20 cmH2O). Respiratory mechanics were measured during volume-controlled mechanical ventilation with a PEEP of 0 and then 7 cmH2O (Fig. 1), with tidal volume (VT) and positive end-expiratory pressure reduced Ecw during pneumoperitoneum. Contrary to our expectations, pneumoperitoneum at Vrel did not significantly change Pes [8.7 (3.4) to 7.6 (3.2) cmH2O; means (SD)] or expiratory reserve volume [183 (142) to 155 (114) ml]. The inflation Pao-Vt curve from Vrel was assessed for evidence of lung compression due to high Pt. Respiratory mechanics were measured during ventilation with a positive end-expiratory pressure of 0 and 7 cmH2O. Pneumoperitoneum stiffened the chest wall and the respiratory system (increased elastance), but did not stiffen the lung, and positive end-expiratory pressure reduced Ecw during pneumoperitoneum.

METHODS

Subjects. Twenty-nine subjects were recruited before elective laparoscopic surgery using a protocol approved by our research ethics committee. Operations included cholecystectomy (2), exploratory laparoscopy, fundoplication, gastric banding (3), gastric bypass (7), gastric sleeve, herniorrhaphy, and hysterectomy (3). No subject had a history of lung or respiratory disease or any contraindication for esophageal manometry. Patients were anesthetized, pharmacologically paralyzed, intubated, and mechanically ventilated while supine and level. Airflow was measured with a Fleisch pneumotachograph and integrated later to obtain volume change. Airway pressure (Pao) was measured at the endotracheal tube, and Pes was measured using an esophageal balloon catheter, filled with 0.5 ml air, and passed to position the tip of the balloon 40–45 cm from the nares or incisors. Transpulmonary pressure (Pt) was calculated as Pt = Pao – Pes. Pressure and flow signals were digitized, recorded, and analyzed using WinDaq hardware and software (Dataq Instruments, Akron, OH).

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Intraperitoneal volume would logically raise abdominal pressure and expand the abdominal wall to a less compliant region of its pressure-volume characteristic, this alone cannot explain chest wall stiffening, because application of PEEP after pneumoperitoneum, which would only further expand the abdominal wall volume, causes a decrease in chest wall (Ecw) and lung elastance (Et) (3, 4, 16). However, acute increases in abdominal volume also stretch the diaphragm and stiffen the chest wall, as demonstrated in studies in dogs and head-up pigs (13, 14, 22). Therefore, pneumoperitoneum could stiffen the abdominal wall and diaphragm while raising pleural pressure and compressing the lungs. The lungs, being exposed to a sustained high pleural pressure, might become stiffer secondarily to airway closure, as in obesity (2, 23). Although pleural pressure during pneumoperitoneum has been estimated in animals using pleural or esophageal balloons (4, 12, 22), esophageal pressure (Pes) measurements have not been reported at resting lung volume (Vl) during pneumoperitoneum in humans. The present study was undertaken to test the hypothesis that raising intra-abdominal pressure would raise pleural (esophageal) pressure, compress the lungs, and reduce Vl at equilibrium, and thereby stiffen the lungs by mechanisms similar to those described in obese subjects (2).
trace to produce a ramp increase in Pao (Ambu in Fig. 1). Then the subject was returned to mechanical ventilation.

To measure changes in Vrel caused by pneumoperitoneum, we measured the volume difference between Vrel and minimal gas volume (here assumed to be residual volume). The subject was allowed to exhale to atmospheric pressure for 15 s, after which Pao was reduced to $-25 \text{ cmH}_2\text{O}$ for $5 \text{ s}$, and the expired volume was measured by integrating flow during the period of negative Pao (Evac in Fig. 1). The exhaled volume determined in this way was termed the expiratory reserve volume (ERV). Assuming that the minimal gas volume did not change with pneumoperitoneum, the measured change in ERV was equal to the change in Vrel, and the entire sequence of measurements was repeated.

**Analysis.** During tidal ventilation before each respiratory maneuver, pressures, flow, and volume were measured in a representative breath at end expiration (ee) and during the 150 ms end-inspiratory pause (ei), and at the end of the exhalation to Vrel. Ers, Ecw, and El were calculated as follows: $Ers = (Pao,ei - Pao,ee)/VT$; $Ecw = (Pes,ei - Pes,ee)/VT$; $El = (Pl,ei - Pl,ee)/VT$. The data from tidal breathing during the two periods on 0-cmH$_2$O PEEP were averaged. Pes values measured during relaxation after exhalations to Vrel (Pes,rel) were averaged before and again after pneumoperitoneum. An increase in Pes,rel or decrease in ERV during pneumoperitoneum would indicate compression of the lungs at Vrel.

The possibility that pneumoperitoneum caused lung compression and airway closure near Vrel was assessed in two ways that do not require Pes measurements. In the first method, we determined the minimum Pao required to initiate inflation. Volume-time data during the slow increase in Pao were fit to two straight lines: a line of constant volume before inflation, and a line fit to the initial linear portion of the inflation volume-time curve. The minimum Pao required to initiate inflation, the Pao,thr, was estimated as the Pao measured at the intersection of these two lines (Fig. 2). Significant compression of the lungs by pneumoperitoneum would be expected to increase Pao,thr.

In the second method, the Pao-Vt curves from the slow inflations of each subject were examined for a lower “knee” or region of maximal curvature, indicating increasing compliance (decreasing elastance) with increasing Vt, suggesting progressive recruitment of lung (Fig. 3). We compared Pao-Vt curves before and during pneumoperitoneum to see if they were displaced to higher inflation pressures by pneumoperitoneum, suggesting lung compression.

**Statistics.** Results are presented as means (SD) and as percentages for categorical data. We utilized paired $t$-test for the pre-pneumoperitoneum vs. pneumoperitoneum and PEEP 0 vs. 7 cmH$_2$O analyses. Significance was assumed for $P < 0.05$ (two-tailed).

**RESULTS**

Of the 29 patients recruited, 3 were excluded because of a change in experimental protocol, and 7 others were excluded due to technical problems or major deviations from the proto-
The 19 subjects analyzed averaged 43 yr in age (range 20–74 yr), and 4 of 19 were men. The body mass index (BMI) averaged 39.8 (9.8) [mean (SD)], reflecting the study population that included patients undergoing bariatric surgery; 14 were obese (BMI > 30), 3 were overweight (BMI 25–30), and 2 were of normal weight (BMI 18.5–25).

At Vrel, pneumoperitoneum did not significantly affect either Pes or ERV (Fig. 4, A and B). There were small, inconsistent changes in Pes,rel, which decreased on average from 8.7 (3.4) to 7.6 (3.2) cmH₂O (P = 0.26), and ERV, which decreased from 183 (142) to 155 (114) ml (P = 0.089). Pneumoperitoneum did not significantly change Pao,thr required to initiate inflation, which changed from 1.1 (0.9) to 0.7 (1.4) cmH₂O (P = 0.16). In addition, no subject whose inflation Pao-Vl curve from Vrel showed a pronounced upward curvature (knee), suggesting airway closure and recruitment, showed an increase in the transrespiratory pressure required to begin inflation after pneumoperitoneum (Fig. 3). These findings suggest that at Vrel, pneumoperitoneum does not increase pleural pressure or compress the lungs.

Pneumoperitoneum increased Ers and Ecw during tidal ventilation with 0- and 7-cmH₂O PEEP (P < 0.0001). EL was not significantly affected (Table 1).

### DISCUSSION

Principal findings of this study are that, contrary to our expectations, pneumoperitoneum induced at Vrel did not significantly change Pes, ERV, or Pao,thr required to initiate inflation from Vrel. Pneumoperitoneum did not significantly affect EL, consistent with some studies in animals (4, 12) but not others in which EL increased (3, 6, 16, 22). However, pneumoperitoneum did markedly increase Ecw and Ers, as expected from previous studies (3, 4, 6, 8, 12, 16, 22, 25, 27, 30). These findings suggest that pneumoperitoneum does not substantially increase pleural pressure or compress the lungs at Vrel. The addition of 7-cmH₂O PEEP caused a substantial decrease in the Ers with pneumoperitoneum, but not before, and the EL was not affected by PEEP. In what follows, we discuss previously described mechanisms to explain each of these findings.

**Pneumoperitoneum increases the Ecw.** Insufflating gas into the abdomen necessarily stretches the abdominal wall and expands the chest wall as a whole (i.e., the rib cage and abdomen). This cannot by itself account for an increase in Ecw, because raising PEEP, which further increases the abdominal
wall stretch and rib cage volume, causes a decrease in Ecw (3, 4, 16, 28). Furthermore, the relaxed rib cage itself becomes more compliant as its volume increases above FRC (26). A more likely explanation for stiffening of the chest wall during pneumoperitoneum is the diaphragm’s interactions with the abdomen and rib cage.

The influence of the diaphragm on the elastance of the relaxed chest wall is apparent when the chest wall pressure-volume curve is obtained in the usual way, by changing Vt. As Vt in a passive subject is reduced below FRC, the chest wall becomes progressively stiffer. This stiffening is usually attributed to stretching and passive tension in the diaphragm, which stiffens the diaphragm-abdomen pathway for volume displacement (1). In addition, movement of the diaphragm into the thorax reduces the surface area of the lungs apposed to the rib cage, reducing the lungs’ mechanical advantage in displacing the rib cage, thus stiffening the rib cage pathway for volume displacement.

Pneumoperitoneum does not cause significant change in Pes or ERV at Vrel. Raising abdominal pressure might be expected to push the diaphragm into the rib cage, raise pleural pressure, and cause a decrease in Vt. This is the explanation for the major expiratory action of abdominal muscles. It is, therefore, surprising that, in our subjects, pneumoperitoneum caused only small, inconsistent changes in Pes at Vrel. Assuming that pressure at the top of the peritoneal cavity was atmospheric before pneumoperitoneum, abdominal insufflation would have raised intra-abdominal pressure by 20 cmH2O. Could a correspondingly large increase in pressure in the pleural space have been obscured by artifacts in the measurement of Pes? Several observations support the opposite conclusion: that pleural pressure changes were small and physiologically insignificant. First, we found only small and inconsistent changes in ERV with pneumoperitoneum (Fig. 4B), leading to the conclusion that Vrel is not changed with pneumoperitoneum. Second, in the slow inflation of the respiratory system after pneumoperitoneum, no subject exhibited a rightward shift of a knee in the pressure-volume curve that would have suggested pneumoperitoneum-induced lung compression (Fig. 3). Similarly, Pao,thr measured at the inflection of the volume-time trace during slow inflations showed that the minimal pressure required to initiate inflation did not increase with pneumoperitoneum, evidence against significant compression of the lungs by a high pleural pressure.

Why does a substantial rise in abdominal pressure not increase pleural pressure and decrease Vt substantially? We speculate that the explanation involves the inspiratory effect that increasing abdominal pressure has on the rib cage. Theoretical analyses and experimental evidence suggest when the diaphragm contracts during inspiration, it pulls on its insertions on the lower rib cage, applying a cephalad “insertional” force that is inspiratory to the rib cage (15, 33). Furthermore, diaphragm contraction raises abdominal pressure, which pushes outward on the area of diaphragm opposed to the rib cage (18), moving the lower ribs outward to expand the rib cage (5, 15).

When abdominal pressure increases without diaphragmatic contraction, the diaphragm’s inspiratory action on the rib cage is normally insufficient to completely counteract the expiratory effect of diaphragmatic movement into the thorax. Thus a rise in abdominal pressure is usually expiratory. However, when the diaphragm is relatively stiff, increasing abdominal pressure can stretch and raise tension in the diaphragm, resulting in an inspiratory rib cage expansion. This apparently paradoxical effect was demonstrated in tetraplegic subjects, whose only significant inspiratory muscle is the diaphragm. When these subjects made maximal inspirations to TLC, they achieved a higher maximal Vt when their abdominal pressure was increased by an elastic abdominal binder (17). In this situation, the diaphragm, contracted during maximal inspiratory efforts, was relatively stiff and, therefore, not easily pushed into the thorax by abdominal compression. The increased abdominal pressure caused increased tension in the diaphragm, which became inspiratory to not only the rib cage, but the entire respiratory system (17).

The inspiratory effects on the rib cage of increased abdominal pressure could also result from abdominal pressure increases in the absence of diaphragm contraction, if the diaphragm is relatively stiff, for example at Vrel when the muscle is stretched enough to develop passive tension. As abdominal pressure increases, the stiffened diaphragm resists displacement into the rib cage, and increasing diaphragmatic tension causes an inspiratory insertional force, while increased abdominal pressure pushes outward to expand the rib cage. The resulting inspiratory effects on the rib cage would be greatest at low Vt values (15) and could counteract the expiratory effect of increased abdominal pressure in displacing the diaphragm. Indeed, infusing fluid into the abdomen of dogs stretched the diaphragm and displaced the diaphragmatic dome cephalad without reducing resting Vt, as measured plethysmographically (10). Thus increasing abdominal volume and pressure can result in negligible changes in pleural pressure and Pes, as observed in upright pigs (22). Consistent with these ideas, Gilroy et al. (9) found that, when gastric volume was acutely changed in seated subjects, increased abdominal volume caused unexpectedly small decreases in Vt and large increases in rib cage volume, suggesting an inspiratory effect of abdominal pressure on the rib cage.

It is worth distinguishing the effects of pneumoperitoneum during laparoscopic surgery from those of a gradual increase in intra-abdominal volume caused by less acute conditions, such as pregnancy, obesity, and ascites. Although conditions like obesity are associated with increased abdominal pressure (2, 28), they do not usually achieve levels seen with pneumoperitoneum (>20 cmH2O). In chronic conditions, there is likely sufficient time for length-adaptation of skeletal muscle in the abdominal wall, and possibly the diaphragm, to accommodate the additional intra-abdominal volume without causing a large rise in abdominal pressure. Length adaptation of diaphragmatic muscle would reduce diaphragmatic tension and transdiaphragmatic pressure, allowing the diaphragm to be displaced further into the thorax, thus increasing pleural pressure at rest.

Increasing PEEP reduces the Ers with pneumoperitoneum, but not without. As noted above, when the pressure-volume characteristic of the relaxed chest wall is obtained in the usual way by changing pressure applied to the airway, chest wall stiffening at volumes below Vrel has been attributed to passive
diaphragmatic tension. The passive tension stiffens the dia-
phragm-abdomen pathway for volume displacement and re-
duces the mechanical advantage of the lung in displacing the
rib cage. In this circumstance, raising Vl by raising Pao
decreases diaphragmatic tension, decreasing Ecw. As the lungs
are inflated above Vrel, the passive chest wall pressure-volume
characteristic is relatively linear, and, therefore, further in-
creases in Pao (or PEEP) do not change Ecw. The same
mechanisms would apply after pneumoperitoneum. At relax-
ation in the supine position, pneumoperitoneum produces sub-
stantial diaphragmatic tension that stiffens the chest wall.
Raising Vl decreases diaphragmatic tension and reduces Ecw.

Unlike Ers, Ecw was reduced in our subjects by increasing
PEEP, both with and without pneumoperitoneum. The decrease
in Ecw was not correlated with BMI, so this result was not
likely due to the preponderance of obese subjects in our study.
Increases in PEEP did not affect the Ers, either with or without
pneumoperitoneum. This suggests that the lungs were on the
linear portion of their inflation pressure-volume curves above
Vrel, and that pneumoperitoneum does not cause substantial
compression of the lungs at Vrel.

Critique of method and comparison with previous results.
Because most of our subjects were obese and only two were of
normal weight, the possibility must be considered that the
principal findings of our study depend on obesity and would
not have occurred in normal-weight subjects. The first prin-
cipal finding is that pneumoperitoneum did not affect Pes at Vrel.
An increase in Pes due to obesity should not prevent a further
increase due to pneumoperitoneum, unless the obesity caused
an increase in diaphragmatic tension and prevented the rise in
abdominal pressure from being transmitted to the thorax.
However, obesity does not appear to increase diaphragmatic
tension, as the resting Pes and gastric pressures in obese
subjects are increased to the same extent, with the result that
the resting transdiaphragmatic pressure and presumably ten-
sion are not greater than in normal subjects (28). Also, there
was no relation in our subjects between BMI and the change in
Pes with pneumoperitoneum.

The second principal finding is that pneumoperitoneum did
not change ERV at Vrel. This might be explained if obesity
raised pleural pressure enough to compress the lungs, reduce
ERV, and thus prevent any further decrease in ERV caused by
pneumoperitoneum. This also seems unlikely, as there was no
relation between BMI and either ERV at baseline or the change
in ERV with pneumoperitoneum. Thus the available data
suggest that our principal findings could apply to obese and
normal subjects, although we cannot exclude the alternative.

Previous studies found that EELV, measured by nitrogen
washout during mechanical ventilation, decreased with pneu-
peritoneum in swine (4, 24) and patients (8). Futier et al. (8)
found that pneumoperitoneum reduced EELV 530 ml in nor-
mal-weight patients and 136 ml in obese patients, whereas we
found a nonsignificant decrease in ERV of only 29 ml. The
previous studies using gas dilution techniques to measure the
volume in the lungs during tidal ventilation above Vrel could
have been sensitive to pneumoperitoneum-induced changes in
the distribution of tidal ventilation above Vrel, whereas our
measurements of ERV to determine changes in Vrel assumed
a constant minimal gas volume of the lung at residual volume.
To the extent that the pressure-volume characteristics of the
lung and minimal gas volume can change gradually and pro-
grressively during ventilation with low PEEP (e.g., by gas
absorption), gas dilution techniques could have indicated a
decreased gas volume in the absence of a significant increase in
pleural pressure. As a result, these different methods of assessing
ERV need not necessarily agree.

Estimation of pleural pressure by Pes is subject to gravita-
tional artifacts. In the supine position, Pes is apparently in-
creased several centimeters of H2O by the weight of the heart
and overlying mediastinal structures (7, 11, 19, 21, 31). Pneu-
peritoneum, by elevating the ventral rib cage, might lift the
heart off the esophagus, causing an artificial decrease in Pes
while the actual pleural pressure increased. Similarly, a change
in the shape of the lung and chest wall brought about by
pneumoperitoneum could cause interregional differences in
tidal pleural pressure changes. Such effects could cause small
differences between changes in Pes and pleural pressures. For
these reasons, we measured Pao,thr at the onset of inflation and
ERV to assess the impact of pleural pressure change during
pneumoperitoneum, methods that do not depend on Pes mea-
surement. On the other hand, our measurements based on Pes
agree with other methods, suggesting negligible pleural pres-
sure change with pneumoperitoneum, which supports the util-
ity of Pes in estimating pleural pressure.

Conclusions. We found that, although pneumoperitoneum
markedly increases the Ecw and Ers, at Vrel pneumoperito-
neum does not raise pleural pressure or compress the lungs in
our predominantly obese subjects. This apparent incongruity
can be explained by the inspiratory action of diaphragmatic
tension on the rib cage, which counteracts the expiratory effect
of increased abdominal pressure. With inflation above Vrel, the
transrespiratory (airway) pressure and pleural pressure are
higher with pneumoperitoneum due to increased Ecw.

Do the results of this study suggest a change in our approach
to mechanical ventilation during pneumoperitoneum? Probably
not. The approach often recommended is to apply moderate
levels of PEEP and perhaps recruitment maneuvers (3, 29, 32),
both of which have been shown to improve respiratory me-
chanics and gas exchange to some degree, and neither of which
carries appreciable risk for patients with healthy respiratory
systems. Added PEEP would increase Pes values, and Pes
values are higher than normal in obese subjects (2, 28), but
obesity of our subjects was not obviously responsible for our
findings. High Pes values are also common in patients with
acute lung injury, although this is likely due largely to intra-tho-
racic and abdominal edema, whose effects may differ from
those of acute abdominal volume expansion. The principal
implication of our results is that the passive respiratory system
has a remarkable ability to accommodate acute increases in
abdominal volume and pressure without squeezing the lungs.

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DISCLOSURES
No conflicts of interest, financial or otherwise, are declared by the author(s).

AUTHOR CONTRIBUTIONS
Author contributions: S.H.L. and N.B. conception and design of research;
S.H.L., N.B., A.N., and S.B.J. performed experiments; S.H.L., N.B., A.N.,
interpreted results of experiments; S.H.L. and A.N. prepared figures; S.H.L.

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