Mechanisms of aerobic performance impairment with heat stress and dehydration

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HUMAN TEMPERATURE REGULATION processes maintain core body temperature ($T_c$) over a narrow functional range despite elevated metabolic rates and exposure to very hot environments (44). During submaximal exercise in warm-hot environments, humans limit exercise hyperthermia by balancing metabolic heat gain with dry and evaporative heat loss. Steady-state $T_c$ is proportional to both absolute and relative metabolic rate within the prescriptive zone (57, 67, 87). Greater heat strain results when exercise intensity increases (17), clothing and equipment are donned (61, 63, 81), and when environmental heat stress becomes more severe (66). The combination of exercise and environmental heat strain also place high demand on evaporative cooling. If fluids are not adequately replaced, then significant dehydration (>3% total body water; >2% body mass) often occurs. The independent and combined effects of environmental heat stress and dehydration can challenge the limits of human temperature regulation and aerobic performance. However, the putative mechanisms responsible for impaired aerobic performance remain debatable.

Physiologists have long been intrigued by the ability of humans to maintain adequate cardiac output and blood pressure to simultaneously dissipate body heat and sustain muscle force generation in hot environments. Although our understanding of the complex interplay among the physiological systems that govern these responses has evolved, the precise mechanistic underpinnings to explain impaired aerobic exercise performance in warm-hot weather remain unknown. Exercise-heat stress with or without dehydration may impair aerobic performance via one or more mechanisms related to central nervous system (CNS), skeletal muscle (metabolism), or cardiovascular system (blood flow) function (12, 14, 15, 27, 37, 70, 73, 74). Focus on a high $T_c$ has been the major mechanism and unifying explanation for impaired aerobic performance in the heat (43, 68, 69, 73, 74, 76, 77), while the historical emphasis of cardiovascular strain accompanying high $T_{sk}$ and skin blood...
flow (SkBF) (85) has only recently been reconsidered in detail (22, 37, 53). Unlike $T_c$, $T_s$ is drastically influenced by both environmental conditions and thermoregulatory responses. The potential for $T_s$ to fluctuate broadly has important implications for SkBF requirements, cardiovascular function and oxygen delivery (52, 85, 86), sensory input (30, 80), evaporative fluid loss (51), and other important performance-related factors common to the heat. In this minireview, we define a $T_s > 35^\circ C$ as “high” (above the thermoneutral zone) (88) while acknowledging that $T_s$ warmed over a broad range will likely produce a continuum of effects (53, 88). We also recognize that $T_c$ (and the $T_c - T_s$ gradient) can alter the $T_s$-SkBF relationship (6, 52, 85).

The purpose of this minireview is to evaluate the strength of the evidence supporting how heat stress impairs aerobic exercise performance in warm-hot weather. Potential CNS, muscle, and cardiovascular phenomena are examined. We provide a pragmatic explanation for impaired aerobic performance in the heat, both with and without dehydration, using well-defined physiological and behavioral concepts. Our main thesis is that high $T_s$ (or combination of high $T_s$ with high $T_c$) reduces $V_O^{2max}$ due to cardiovascular limitations that are exacerbated by dehydration. A shrinking cardiovascular reserve requires greater relative exercise intensity and sensation of effort for any given exercise power output. When the effort is protracted, an exponential decline in aerobic performance is observed. We suggest that the evidence for aerobic exercise performance impairments in the heat is better explained by cardiovascular (and oxygen delivery) limitations, rather than a deterioration in neuromuscular factors related to deep body temperature per se. While we identify the cardiovascular system as primary for limiting performance in the heat, we acknowledge that the CNS and skeletal muscle systems also provide important sensory input to the conscious brain and play a role in performance impairment.

**EXERCISE PERFORMANCE IN THE HEAT**

The well-documented impact of environmental heat stress on aerobic exercise “performance” has been evaluated using time to exhaustion (TTE) tests (incremental or constant work rate) and time trial (TT) tests (self-paced) in both laboratory and field settings. Galloway and Maughan (31) conducted one of the first studies to systematically compare the effects of graded heat stress on prolonged aerobic exercise performance. Using an ambient temperature range of 4–31°C, they demonstrated that TTE was nearly 42 min shorter (44%) in the warmest environment, relative to the study optimum (11°C). Similarly, MacDougal et al. (58) showed that TTE was shortened by 42 min (47%) when $T_s$ was increased from 29 to 35°C using a water-perfused suit. The size of the heat-related performance impairment in these studies (~45%) is roughly two times larger than the typical variability in the measurement itself [coefficient of variation (CV) ~25%] (45). The magnitude of the signal-to-noise ratio (~2.0) illustrates the strong negative effect of environmental heat stress on aerobic exercise performance.

In self-paced competitive running events, Ely et al. (23) found (Fig. 1) that marathon running performance slowed by ~2% (2–3 min) in elite competitors, while 3-h finishers slowed by ~10% (18 min) as wet bulb globe temperature (WBGT) increased from 10 to 25°C (air temperature 8–22°C). Importantly, the smaller apparent effect magnitude (2 to 10%) is balanced by the fact that self-paced exercise is a more reliable test modality (CV 1–5%) (46), and thus the signal-to-noise ratio remains ≥2.0. It is interesting to point out that in warmer weather, faster runners choose a slower, constant pace from the outset, while slower runners start at a faster desired pace only to decelerate (25). Tatterton et al. (94) observed similar findings in cyclists when comparing shorter (30 min), self-paced exercise in warm (32°C) vs. temperate (23°C) air temperatures. These latter studies indicate a change in behavior during self-paced performance tests due to heat stress and suggest that pacing strategy (28) may provide insight into how or why performance becomes impaired.

It is well established that heat stress degrades $V_O^{2max}$ (2, 34, 75, 93, 100) and that anything that reduces $V_O^{2max}$ can impair aerobic performance (3, 19). It is also well recognized that multiple physiological mechanisms can interact to influence aerobic performance outcomes. A shorter, self-paced aerobic test eliminates the potential for substrate depletion, marked heat storage, and dehydration common to more prolonged exercise in the heat. In order to test the hypothesis that higher $T_s$ alone might alter performance and pacing, Ely et al. (22) examined 15-min cycling TT performance in two environments (20 and 40°C), which produced $T_s$ of 31 and 36°C, similar to MacDougal et al. (58), while $T_c$ remained near 38°C. Ely et al. (22) found that ratings of perceived exertion (RPE), heart rate (HR), and $T_c$ were similar between self-paced trials. Total work performed at 40°C was 15–20% less than 20°C (with test CV ~5%) similar to the performance decrement observed for the same task when ascending from sea level to 3,000-m altitude (60). Volunteers began the TT at the same pace in both environments, only to fall off pace at 40°C after the first 3 min of exercise (22). Collectively, TTE and TT studies demonstrate consistently that heat stress impairs aerobic exercise performance. Although cardiovascular (85, 86) and oxygen uptake adjustments to high $T_s$ (2, 34, 75, 93, 100) represent a plausible explanation linking perceived exertion (80) and behavioral changes (10) with impaired performance (22), mechanistic conclusions remain uncertain.

![Fig. 1. Impact of weather on marathon running performance across a range of finishing times.](http://jap.physiology.org/DownloadedFrom/10.1152/jappl.00638.2010)
HYPERTHERMIA AND THE CENTRAL NERVOUS SYSTEM

Over the past 10 years, the most popular explanation for impaired exercise performance in a warm-hot environment has been a ~40°C “critical” $T_c$ (43, 68, 69, 73, 74, 76, 77). The typical explanation is that this “critical” $T_c$ threshold represents a safety brake for catastrophic hyperthermia (70, 74), or at least the precipice for a progressive downward performance trend (73). However, both of these interpretations can be challenged. First, a minimal lethal body temperature for humans is considerably higher (42°C) (8) and human CNS cells can tolerate blood temperatures in excess of 41°C without harm (20). Second, competitive runners (outside the laboratory) are often observed to achieve $T_c > 40°C$ (even > 41°C), without apparent sequelae, when $T_{sk}$ was presumably low (9, 59, 84). Third, two recent studies could document no decline in running performance (velocity) during either short (8 km) or long (21 km) competitive running races despite $T_c \approx 40°C$ (24, 56). Fourth, no study has clearly demonstrated an independent effect of a “critical” $T_c$, as confounding factors covary with $T_c$. Studies documenting an association between fatigue during dynamic, whole body exercise, and the attainment of a “critical” $T_c$ were always performed under experimental conditions that produced high skin and muscle temperatures, narrow core-to-skin gradients, and substantial cardiovascular strain (43, 68, 69, 76), any one of which affords a tenable explanation for fatigue. Anticipatory models (97, 98) that focus on the rate of body heat storage appear untenable (24, 49), but mechanistic differences of theory are moot since both share logic that posits fatigue or its regulation (cortical or subcortical) based on avoidance of thermal injury.

Direct evidence of hyperthermia and degraded CNS function comes from associations between a “critical” $T_c$ and altered brain wave (EEG), motor-neural output, and sensory changes consistent with fatigue (69, 76, 77). However, EEG alterations (69) may (78) or may not (83) be the consequence of reductions in brain blood flow, which ultimately represents a different (cardiovascular) mechanism (38, 79). It was recently demonstrated that the effects of heat stress on degrading neuromuscular function are progressive (95) and that as much as half of the neuromuscular fatigue effects attributed to a hot brain may be explained by hot muscles (96). It is not possible to say whether the remaining loss of efferent motor cortical output is the result of an unwilling or incapable participant, but it is well documented that the same exercise task in the heat is often perceived as more difficult or more uncomfortable than in temperate conditions (10, 30, 76). RPE involves a variety of integrated sensations that may converge to impact aerobic performance (10, 30, 80). Heat stress increases RPE (76). Anything that alters RPE may affect motivation driven motor-neural firing (11, 26) and behavior (10). Mundel and Jones (65) demonstrated that a menthol mouth rinse reduced RPE (compared with placebo) by 15% and improved TTE by 9% during exercise-heat stress. Similarly, Watson et al. (99) demonstrated that a 30% decrement in TT performance in the heat (compared with temperate control) was reduced to 19% with the same RPE when subjects were given a dopamine reuptake inhibitor. It would therefore appear that altered sensation can produce greater tolerance to exercise-heat stress at the cortical level. Importantly, however, the data of Watson et al. (99) illustrate that the remaining 19% performance decline in the heat (vs. temperate) must be attributable to a larger, intact performance-limiting mechanism(s).

HYPERTHERMIA AND MUSCLE FUNCTION

Muscle function (mechanical and chemical) displays positive thermal dependence at the level of organismic performance (4). Muscle hyperthermia from exercise significantly increases the substrate flux through energy-producing biochemical pathways, improves phosphorylative efficiency, facilitates dissociation of oxygen from hemoglobin, reduces fluid viscosity, and enhances muscle contractility (4, 5, 7). In contrast, heating reduces time to exhaustion for sustained isometric contractions in small muscle groups (21). The importance of an isometric model to understand the relative CNS contribution to impaired force production in conjunction with a “critical” $T_c$ was alluded to above. But the relevance of sustained isometric contraction of a small muscle toward aerobic performance in a dynamic whole body exercise model can be questioned on many fronts (4). For example, isometric contractions reduce skeletal muscle blood flow in proportion to the percent maximal contraction intensity (82), thus inducing very low oxygen contents and metabolite accumulation at fatigue. With the $Q_{10}$ effect of heat stress (4), deoxygenation (hypoxia/dysoxia) and fatigue will occur more rapidly. Although quantifying deterioration in CNS function during whole body exercise may not be possible, it is well documented that disturbances in local (peripheral) muscle metabolism, muscle tension, or other factors can impact sense of effort (80). Muscle blood flow, a cardiovascular limitation, is preserved during submaximal aerobic exercise-heat stress (34, 71) and becomes reduced only as a secondary consequence of hypotension during severe heat stress (34). Although aerobic exercise in the heat can produce exhaustion long before muscle glycogen depletion is a contributing factor in endurance fatigue (27), the fact remains that substrate depletion is accelerated by heat stress (27, 50) at a time when oxidation rates of ingested carbohydrates are simultaneously reduced (50). Therefore, as submaximal aerobic exercise in the heat becomes protracted in length, limited fuel availability can contribute to impaired performance.

HYPERTHERMIA AND CARDIOVASCULAR FUNCTION

Exercise-heat stress demands blood flow to support energy metabolism (muscle blood flow), temperature regulation (SkBF), and CNS function (brain blood flow). When $T_c$ and $T_{sk}$ become elevated, there is a reflex increase in SkBF and cutaneous venous volume, while HR increases and cardiac filling and stroke volume (SV) decline (6, 52, 85, 86). When motivation is high and sensory cues ignored (10), a hierarchy is observed near maximal exercise intensities whereby muscle blood flow and arterial pressure are maintained at the expense of SkBF (37), which reaches a plateau near a $T_{sk}$ of 38°C (6). Under circumstances in which considerable blood is displaced to the skin, blood flow and oxygen delivery to the muscles, and possibly brain (38, 78, 83), become compromised despite maintenance of leg vascular conductance (34). Table 1 illustrates that when $T_{sk}$ is high, a rising $T_c$ maintains a more favorable $T_c - T_{sk}$ gradient for reducing whole body SkBF requirements for heat loss (54). Figure 2 plots the apparent $T_c$ tolerated, at task completion (24, 59) or at exhaustion (43, 81),

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Table 1. Estimated whole body skin blood flow requirements for prolonged, severe running exercise at different body core and skin temperatures

<table>
<thead>
<tr>
<th>Tc, °C</th>
<th>Tsk, °C</th>
<th>Gradient, °C/min</th>
<th>SkBF, l/min</th>
</tr>
</thead>
<tbody>
<tr>
<td>38</td>
<td>30</td>
<td>8</td>
<td>1.1</td>
</tr>
<tr>
<td>38</td>
<td>34</td>
<td>4</td>
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<td>39</td>
<td>36</td>
<td>2</td>
<td>4.4</td>
</tr>
<tr>
<td>39</td>
<td>36</td>
<td>3</td>
<td>3.0</td>
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Equation for whole body skin blood flow (SkBF) requirements for prolonged, severe running exercise is \( Q_{sk} = 0.6 \times t \times (T_{c} - T_{sk}) \), where \( C \) is the specific heat of blood (0.87 kcal·°C\(^{-1}\)·l\(^{-1}\)), \( T_{c} \) is body core temperature; \( T_{sk} \) is skin temperature, \( h = \) heat production (kcal/min), and \( Q_{sk} = \) skin blood flow (from Ref. 85), and where, for severe running exercise, net heat production (7.7 kcal/min) is estimated using 60 kg body mass and 325 mm/min running velocity (approximate pace for men’s world class 42-km foot race) after subtracting for work (20% efficiency) and 50% dry and evaporative heat losses. Adapted from Ref. 54.

with its associated \( T_{sk} \) and calculated SkBF requirements (85). Note that \( T_{c} \) tolerance is inversely related to whole body SkBF requirements, which suggests that a “critical” \( T_{c} \) may instead be a perfusion issue (muscle, brain) related to high cardiovascular strain. Indeed, high \( T_{sk} \) has been an important historical index for heat tolerance (47, 48). The convergence of \( T_{c} \) and \( T_{sk} \) can be a good predictor of exhaustion (81), although predictive capacity may depend on the precise temperature at which \( T_{c} \) and \( T_{sk} \) converge (72). Sawka et al. (91) report that, when \( T_{sk} \) is made high by protective clothing or a severe environmental heat load, exercise is ceased (voluntary or collapse) in 50% of young healthy subjects at a \( T_{c} \) of only 38.5°C. Thus, in high heat stress situations with high \( T_{sk} \), exercise cessation will occur relatively quickly in the absence of very high \( T_{c} \) at light-to-moderate exercise intensities.

During prolonged, submaximal exercise in less severe warm-hot environments, muscle blood flow and blood pressure are generally maintained, and thus explanations for fatigue are often attributed to the approach or attainment of a “critical” \( T_{c} \) (37, 43). But since a large \( V\dot{O}_{2\text{max}} \) is a prerequisite for success in sports where aerobic endurance is contested (3, 19), it seems plausible that the effects of heat stress on reducing \( V\dot{O}_{2\text{max}} \) might better explain submaximal aerobic performance impair-
ments. High \( T_{sk} \) reduces \( V\dot{O}_{2\text{max}} \) as a consequence of high SkBF, which displaces blood to the periphery and reduces cardiac filling, resulting in a reduced maximal cardiac output (\( CO_{\text{max}} \)) (and thus \( V\dot{O}_{2\text{max}} \)) (2, 34, 58, 75, 85, 86, 93, 100). For example, Arngrimsson et al. (2) demonstrated a stepwise reduction in \( V\dot{O}_{2\text{max}} \) and an increase in relative exercise intensity across a range of ambient temperatures (25 to 45°C) that increased \( T_{sk} \) by almost 6°C in the presence of modest \( T_{c} \) elevations. Although \( T_{c} \) plays a minor role in modifying the \( T_{sk}-\text{SkBF} \) relationship when \( T_{c} \) is high (6, 52), a high \( T_{c} \) can also reduce cardiac filling by tachycardia when sympathetic activity is high or by direct temperature effects on intrinsic HR (15, 29, 41). The reduction in both \( CO_{\text{max}} \) and \( V\dot{O}_{2\text{max}} \) result in a shrinking cardiovascular reserve, which is the primary limiting factor for aerobic exercise performance (3, 19). A greater relative exercise intensity and sensation of effort is required for any given exercise power output (3, 10, 19, 32, 80). As exercise becomes protracted, performance is reduced exponen-
tially according to the formula \( \log_{10}(t) = A \times (load/V\dot{O}_{2\text{max}}) + B \), where \( t \) is endurance time and \( A \) and \( B \) are the slope and intercept relating \( t \) to the \( V\dot{O}_{2\text{max}} \)-specific relative workload (32). Incremental or constant-rate exercise would be more difficult to sustain (earlier fatigue) or would require a slowing of self-paced exercise to achieve a similar sensation of effort. While a variety of afferent physiological information can be linked to the conscious sense of effort, the prominent contribu-
tion of cardiopulmonary factors (HR, respiration, baroreceptors) to RPE during whole body exercise is widely acknowl-
edged (80). Earlier fatigue or slowing of pace can therefore be explained by greater cardiovascular strain (85, 86), elevated relative exercise intensity (3, 19, 32), increased perceived exertion (80), and associated behavioral changes toward sen-
sory optimum (10).

**EXERCISE PERFORMANCE WHEN DEHYDRATED**

It is established that total body water deficits (dehydration) > 3% (>2% body mass) consistently impair aerobic exercise performance (14, 89, 90, 92). Practically, this means that if a person initiates activity in a normal state of body hydration (euhy-

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**Fig. 2.** Apparent core body temperature (\( T_{c} \)) tolerance during exercise-heat stress and calculated skin blood flow (SkBF) with associated skin temperature (\( T_{sk} \)). \( T_{c} \) and \( T_{sk} \) data pairs are from Refs. 24, 43, 59, 81. Heat production was calculated as in Table 1 starting from the actual metabolic data given in the original references. A point-to-point spline curve was generated with GraphPad to interpolate and visually smooth data connections for presentation only (no analytical model intended).

**Fig. 3.** Linear regression of plasma volume change (\( \% \)) and body mass change (\( \% \)) following sweat loss or diuretic dehydration. Original data are shown for 18 volunteers (54 data points) dehydrated twice by 4.1% (1.5% using 3–5 h of exercise-heat exposure (sweat; \( n = 36 \)) and once by 3.1 ± 0.5% using 40–80 mg diuretic (furosemide; \( n = 18 \)) on 3 separate occasions. Plasma volume contraction with furosemide (\( y_{s} = -3.8 + 3.6x \)) is double that for sweat (\( y_{s} = 1.35 + 2.8x \)) at any given level of dehydration. Plasma volume changes calculated according to Dill and Costill (18).
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drated), dehydration-mediated performance decrements are restricted to activities lasting 1 h or longer as sustainable exercise sweating rates are typically <1.5 l/h. While dehydration can reduce muscle blood flow (35, 36) and alter skeletal muscle metabolism (27) during intense, exhaustive exercise in hot environments, intense exercise in hot environments is limited in duration and unlikely to be affected by dehydration if exercise is begun well hydrated. Headache, or even thirst (92), may also produce subjective feelings of fatigue or loss of vigor, but attempts to measure somatosensory gating of afferent neural signals in association with dehydration and performance are thus far inconclusive (64).

Hypotonicity is an unavoidable consequence of dehydration that helps mediate Tc elevation (89), which contributes to increased cardiovascular strain (15, 62). Dehydration by 2–4% body mass also produces an added challenge to meet whole body blood flow requirements by reducing plasma volume up to 10% (Fig. 3), which acts to reduce cardiac filling and SV (39, 40, 41, 42). Dehydration by 2–4% body mass generally reduces VO2max, although the effects are larger in warmer environments (89). Just as plasma volume expansion by ~10% can improve VO2max by ~5% (16), Nybo et al. (75) demonstrated that 4% dehydration reduced blood volume by ~5% (plasma volume ~10%) and lowered VO2max by 6% at Tsk 31°C and by 16% when Tsk was raised to 36°C (water-perfused suit). As with heat stress alone, a reduced VO2max when dehydrated would make incremental or constant-rate exercise more difficult to sustain or require a slowing of self-paced exercise to achieve a similar sensation of effort.

Hyperthermia and plasma loss each account for ~50% of the dehydration-mediated decline in SV and COmax (15, 33), which we propose both can reduce VO2max and impair aerobic performance. This idea is also consistent with the observation that dehydration may impair exercise performance more under heat stress (14, 33, 41, 75, 89) compared with cold exposure (13, 41, 55). The strong modifying effect of Tsk on aerobic performance when dehydrated supports the proposed underlying SkBF demands and cardiovascular limitations on altering VO2max, relative exercise intensity, and associated sensory cues. Indeed, Kenefick et al. (53) quantified the relationship between Tsk and aerobic performance degradation when dehydrated (4%) across a range of air temperatures (10–40°C) that incrementally elevated Tsk (but not Tc). During a short aerobic performance test that was identical to Ely et al. (22), and therefore not easily confounded by other factors, Kenefick et al. (53) reported a 1.6% decline in the total work performed for every 1°C increase in Tsk starting at a Tsk intercept of 29°C. At the same 4% level of dehydration, this amounted to a 3% impairment in aerobic TT performance at an ambient temperature of 10°C, increasing to 23% at ambient temperature of 40°C. Classic reports of larger aerobic performance reductions in temperate weather at more modest (1–2%) levels of dehydration (1) are likely the result of diuretic use, which doubles the plasma volume reduction observed from sweat loss (Fig. 3). A greater plasma volume reduction would presumably reduce VO2max and aerobic performance proportionally (89).

SUMMARY

This minireview evaluates mechanisms by which heat stress and dehydration impair aerobic exercise performance. The physiological mechanism(s) that explain impaired aerobic exercise performance due to the independent and combined effects of heat stress and dehydration are highly circumstantial. The CNS and skeletal muscle systems provide important sensory input to the conscious brain, and there is evidence that each can play a role in impairing aerobic exercise performance during heat and/or dehydration stress. However, the demands and limitations on blood flow implicate a much larger performance contribution by the cardiovascular system. During severe heat stress conditions, physical exhaustion or collapse will occur across a continuum of Tc (91) and is most likely the result of the severe competition for blood flow, minimal Tc – Tsk gradient, and inability to sustain required blood pressure (34, 37). During submaximal aerobic exercise in hot weather, performance is impaired by both high Tsk and Tc, which combine to reduce VO2max (2, 34, 75, 93, 100), and thus increase relative exercise intensity and sensation of effort at any given workload (TTE) (32), or result in a diminished workload (TT) to achieve a similar sensation of effort (22, 28, 94, 97). Significant dehydration (>2% body mass) takes time to develop, but when present it augments hyperthermia (89) and reduces plasma volume (Fig. 3), which independently impact physiology (15, 33) while accentuating the negative performance consequences of heat stress (53).

In this minireview, we provide a pragmatic explanation whereby high Tsk and Tc impair aerobic performance in tandem primarily through elevated cardiovascular strain, rather than deterioration in CNS function or skeletal muscle metabolism. Well-defined physiological and behavioral concepts support the plausibility of this explanation (3, 10, 19, 28, 30, 32, 44, 80). We emphasize the importance of Tsk (SkBF) in the context of aerobic exercise performance in warm-hot environments, with and without dehydration. We also revisit the important link between physiological strain and rated perceived exertion (10, 30, 80) to more fully explain how heat stress and dehydration act to impair aerobic exercise performance. Future research might consider the implications of this review when designing laboratory experiments to elucidate more precisely the mechanism(s) for impaired aerobic exercise performance during dehydration-heat stress.

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DISCLOSURES

No conflicts of interest, financial or otherwise, are declared by the author(s).

REFERENCES


Review

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