Mechanisms of aerobic performance impairment with heat stress and dehydration

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Mechanisms and Modulators of Temperature Regulation

HUMAN TEMPERATURE REGULATION processes maintain core body temperature (Tc) over a narrow functional range despite elevated metabolic rates and exposure to very hot environments (44). During submaximal exercise in warm-hot environments, humans limit exercise hyperthermia by balancing metabolic heat gain with dry and evaporative heat loss. Steady-state Tc is proportional to both absolute and relative metabolic rate within the prescriptive zone (57, 67, 87). Greater heat strain results when exercise intensity increases (17), clothing and equipment are donned (61, 63, 81), and when environmental heat stress becomes more severe (66). The combination of exercise and environmental heat gain also place high demand on evaporative cooling. If fluids are not adequately replaced, then significant dehydration (>3% total body water; >2% body mass) often occurs. The independent and combined effects of environmental heat stress and dehydration can challenge the limits of human temperature regulation and aerobic performance. However, the putative mechanisms responsible for impaired aerobic performance remain debatable.

Physiologists have long been intrigued by the ability of humans to maintain adequate cardiac output and blood pressure to simultaneously dissipate body heat and sustain muscle force generation in hot environments. Although our understanding of the complex interplay among the physiological systems that govern these responses has evolved, the precise mechanistic underpinnings to explain impaired aerobic exercise performance in warm-hot weather remain unknown. Exercise-heat stress with or without dehydration may impair aerobic performance via one or more mechanisms related to central nervous system (CNS), skeletal muscle (metabolism), or cardiovascular system (blood flow) function (12, 14, 15, 27, 37, 70, 73, 74). Focus on a high Tc has been the major mechanism and unifying explanation for impaired aerobic performance in the heat (43, 68, 69, 73, 74, 76, 77), while the historical emphasis of cardiovascular strain accompanying high Tsk and skin blood
flow (SkBF) (85) has only recently been reconsidered in detail (22, 37, 53). Unlike Tc, Tsk is drastically influenced by both environmental conditions and thermoregulatory responses. The potential for Tsk to fluctuate broadly has important implications for SkBF requirements, cardiovascular function and oxygen delivery (52, 85, 86), sensory input (30, 80), evaporative fluid loss (51), and other important performance-related factors common to the heat. In this minireview, we define a Tsk >35°C as “high” (above the thermoneutral zone) (88) while acknowledging that Tsk warmed over a broad range will likely produce a continuum of effects (53, 88). We also recognize that Tc (and the Tc − Tsk gradient) can alter the Tsk-SkBF relationship (6, 52, 85).

The purpose of this minireview is to evaluate the strength of the evidence supporting how heat stress impairs aerobic exercise performance in warm-hot weather. Potential CNS, muscle, and cardiovascular phenomena are examined. We provide a pragmatic explanation for impaired aerobic performance in the heat, both with and without dehydration, using well-defined physiological and behavioral concepts. Our main thesis is that high Tsk (or combination of high Tsk with high Tc) reduces V̇O₂max due to cardiovascular limitations that are exacerbated by dehydration. A shrinking cardiovascular reserve requires greater relative exercise intensity and sensation of effort for any given exercise power output. When the effort is protracted, an exponential decline in aerobic performance is observed. We suggest that the evidence for aerobic exercise performance impairments in the heat is better explained by cardiovascular (and oxygen delivery) limitations, rather than a deterioration in neuromuscular factors related to deep body temperature per se. While we identify the cardiovascular system as primary for limiting performance in the heat, we acknowledge that the CNS and skeletal muscle systems also provide important sensory input to the conscious brain and play a role in performance impairment.

EXERCISE PERFORMANCE IN THE HEAT

The well-documented impact of environmental heat stress on aerobic exercise “performance” has been evaluated using time to exhaustion (TTE) tests (incremental or constant work rate) and time trial (TT) tests (self-paced) in both laboratory and field settings. Galloway and Maughan (31) conducted one of the first studies to systematically compare the effects of graded heat stress on prolonged aerobic exercise performance. Using an ambient temperature range of 4–31°C, they demonstrated that TTE was nearly 42 min shorter (44%) in the warmest environment, relative to the study optimum (11°C). Similarly, MacDougal et al. (58) showed that TTE was shortened by 42 min (47%) when Tsk was increased from ~29 to 35°C using a water-perfused suit. The size of the heat-related performance impairment in these studies (~45%) is roughly two times larger than the typical variability in the measurement itself [coefficient of variation (CV) ~25%] (45). The magnitude of the signal-to-noise ratio (~2.0) illustrates the strong negative effect of environmental heat stress on aerobic exercise performance.

In self-paced competitive running events, Ely et al. (23) found (Fig. 1) that marathon running performance slowed by ~2% (2–3 min) in elite competitors, while 3-h finishers slowed by ~10% (18 min) as wet bulb globe temperature (WBGT) increased from 10 to 25°C (air temperature 8–22°C). Importantly, the smaller apparent effect magnitude (2 to 10%) is balanced by the fact that self-paced exercise is a more reliable test modality (CV 1–5%) (46), and thus the signal-to-noise ratio remains ≥2.0. It is interesting to point out that in warmer weather, faster runners choose a slower, constant pace from the outset, while slower runners start at a faster desired pace only to decelerate (25). Tatterson et al. (94) observed similar findings in cyclists when comparing shorter (30 min), self-paced exercise in warm (32°C) vs. temperate (23°C) air temperatures. These latter studies indicate a change in behavior during self-paced performance tests due to heat stress and suggest that pacing strategy (28) may provide insight into how or why performance becomes impaired.

It is well established that heat stress degrades V̇O₂max (2, 34, 75, 93, 100) and that anything that reduces V̇O₂max can impair aerobic performance (3, 19). It is also well recognized that multiple physiological mechanisms can interact to influence aerobic performance outcomes. A shorter, self-paced aerobic test eliminates the potential for substrate depletion, marked heat storage, and dehydration common to more prolonged exercise in the heat. In order to test the hypothesis that higher Tsk alone might alter performance and pacing, Ely et al. (22) examined 15-min cycling TT performance in two environments (20 and 40°C), which produced Tsk of 31 and 36°C, similar to MacDougal et al. (58), while Tc remained near 38°C. Ely et al. (22) found that ratings of perceived exertion (RPE), heart rate (HR), and Tc were similar between self-paced trials. Total work performed at 40°C was 15–20% less than 20°C (with test CV ~5%) similar to the performance decrement observed for the same task when ascending from sea level to 3,000-m altitude (60). Volunteers began the TT at the same pace in both environments, only to fall off pace at 40°C after the first 3 min of exercise (22). Collectively, TTE and TT studies demonstrate consistently that heat stress impairs aerobic exercise performance. Although cardiovascular (85, 86) and oxygen uptake adjustments to high Tsk (2, 34, 75, 93, 100) represent a plausible explanation linking perceived exertion (80) and behavioral changes (10) with impaired performance (22), mechanistic conclusions remain uncertain.
HYPERTHERMIA AND THE CENTRAL NERVOUS SYSTEM

Over the past 10 years, the most popular explanation for impaired exercise performance in a warm-hot environment has been a ~40°C “critical” Tc (43, 68, 69, 73, 74, 76, 77). The typical explanation is that this “critical” Tc threshold represents a safety brake for catastrophic hyperthermia (70, 74), or at least the precipice for a progressive downward performance trend (73). However, both of these interpretations can be challenged. First, a minimal lethal body temperature for humans is considerably higher (42°C) (8) and human CNS cells can tolerate blood temperatures in excess of 41°C without harm (20). Second, competitive runners (outside the laboratory) are often observed to achieve Tc > 40°C (even > 41°C), without apparent sequelae, when Tsk was presumably low (9, 59, 84).

Third, two recent studies could document no decline in running performance (velocity) during either short (8 km) or long (21 km) competitive running races despite Tc ≥ 40°C (24, 56).

Fourth, no study has clearly demonstrated an independent effect of a “critical” Tc, as confounding factors covary with Tc. Studies documenting an association between a “critical” Tc and altered sensations (11, 26) and behavior (10). Mundel and Jones (65) demonstrated that a menthol mouth rinse reduced RPE (compared with placebo) by 15% and improved TTE by 9% during submaximal aerobic exercise-heat stress (34, 71) and becomes reduced only as a secondary consequence of hypotension during severe heat stress (34). Although aerobic exercise in the heat can produce exhaustion long before muscle glycogen depletion is a contributing factor in endurance fatigue (27), the fact remains that substrate depletion is accelerated by heat stress (27, 50) at a time when oxidation rates of ingested carbohydrates are simultaneously reduced (50). Therefore, as submaximal aerobic exercise in the heat becomes protracted in length, limited fuel availability can contribute to impaired performance.

HYPERTHERMIA AND MUSCLE FUNCTION

Muscle function (mechanical and chemical) displays positive thermal dependence at the level of organismic performance (4). Muscle hyperthermia from exercise significantly increases the substrate flux through energy-producing biochemical pathways, improves phosphorylative efficiency, facilitates dissociation of oxygen from hemoglobin, reduces fluid viscosity, and enhances muscle contractility (4, 5, 7). In contrast, heating reduces time to exhaustion for sustained isometric contractions in small muscle groups (21). The importance of an isometric model to understand the relative CNS contribution to impaired force production in conjunction with a “critical” Tc was alluded to above. But the relevance of sustained isometric contractions of a small muscle toward aerobic performance in a dynamic whole body exercise model can be questioned on many fronts (4). For example, isometric contractions reduce skeletal muscle blood flow in proportion to the percent maximal contraction intensity (82), thus inducing very low oxygen contents and metabolite accumulation at fatigue. With the Q10 effect of heat stress (4), deoxygenation (hypoxia/dysoxia) and fatigue will occur more rapidly. Although quantifying deterioration in CNS function during whole body exercise may not be possible, it is well documented that disturbances in local (peripheral) muscle metabolism, muscle tension, or other factors can impact sense of effort (80). Muscle blood flow, a cardiovascular limitation, is preserved during submaximal aerobic exercise-heat stress (34, 71) and becomes reduced only as a secondary consequence of hypotension during severe heat stress (34). Although aerobic exercise in the heat can produce exhaustion long before muscle glycogen depletion is a contributing factor in endurance fatigue (27), the fact remains that substrate depletion is accelerated by heat stress (27, 50) at a time when oxidation rates of ingested carbohydrates are simultaneously reduced (50). Therefore, as submaximal aerobic exercise in the heat becomes protracted in length, limited fuel availability can contribute to impaired performance.

HYPERTHERMIA AND CARDIOVASCULAR FUNCTION

Exercise-heat stress demands blood flow to support energy metabolism (muscle blood flow), temperature regulation (SkBF), and CNS function (brain blood flow). When Tc and Tsk become elevated, there is a reflex increase in SkBF and cutaneous venous volume, while HR increases and cardiac filling and stroke volume (SV) decline (6, 52, 85, 86). When motivation is high and sensory cues ignored (10), a hierarchy is observed near maximal exercise intensities whereby muscle blood flow and arterial pressure are maintained at the expense of SkBF (37), which reaches a plateau near a Tsk of 38°C (6).

Under circumstances in which considerable blood is displaced to the skin, blood flow and oxygen delivery to the muscles, and possibly brain (38, 78, 83), become compromised despite maintenance of leg vascular conductance (34). Table 1 illustrates that when Tsk is high, a rising Tc maintains a more favorable Tc – Tsk gradient for reducing whole body SkBF requirements for heat loss (54). Figure 2 plots the apparent Tc tolerated, at task completion (24, 59) or at exhaustion (43, 81),
Table 1. Estimated whole body skin blood flow requirements for prolonged, severe running exercise at different body core and skin temperatures

<table>
<thead>
<tr>
<th>Tc, °C</th>
<th>Tsk, °C</th>
<th>Gradient, °C</th>
<th>SkBF, l/min</th>
</tr>
</thead>
<tbody>
<tr>
<td>38</td>
<td>30</td>
<td>8</td>
<td>1.1</td>
</tr>
<tr>
<td>38</td>
<td>34</td>
<td>4</td>
<td>2.2</td>
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<td>2</td>
<td>4.4</td>
</tr>
<tr>
<td>39</td>
<td>36</td>
<td>3</td>
<td>3.0</td>
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</tbody>
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Equation for whole body skin blood flow (SkBF) requirements for prolonged, severe running exercise is \( Q_s = \frac{x}{Tc - Tsk} \times h(Tc - Tsk) \), where \( x \) is the specific heat of blood (0.87 kcal·C\(^{-1}\)·kg\(^{-1}\)), \( Tc \) is body core temperature; \( Tsk \) is skin temperature, \( h \) = heat production (kcal/min), and \( Q_s \) = skin blood flow (from Ref. 85), and where, for severe running exercise, net heat production (7.7 kcal/min) is estimated using 60 kg body mass and 325 m/min running velocity (approximate pace for men’s world class 42-km foot race) after subtracting for work (20% efficiency) and 50% dry and evaporative heat losses. Adapted from Ref. 54.

with its associated \( Tsk \) and calculated SkBF requirements (85). Note that \( Tc \) tolerance is inversely related to whole body SkBF requirements, which suggests that a “critical” \( Tc \) may instead be a perfusion issue (muscle, brain) related to high cardiovascular strain. Indeed, high \( Tsk \) has been an important historical index for heat tolerance (47, 48). The convergence of \( Tc \) and \( Tsk \) can be a good predictor of exhaustion (81), although predictive capacity may depend on the precise temperature at which \( Tc \) and \( Tsk \) converge (72). Sawka et al. (91) report that, when \( Tsk \) is made high by protective clothing or a severe environmental heat load, exercise is ceased (voluntary or collapse) in 50% of young healthy subjects at a \( Tc \) of only 38.5°C. Thus, in high heat stress situations with high \( Tsk \), exercise cessation will occur relatively quickly in the absence of very high \( Tc \) at light-to-moderate exercise intensities.

During prolonged, submaximal exercise in less severe warm-hot environments, muscle blood flow and blood pressure are generally maintained, and thus explanations for fatigue are often attributed to the approach or attainment of a “critical” \( Tc \) (37, 43). But since a large \( V_{O2max} \) is a prerequisite for success in sports where aerobic endurance is contested (3, 19), it seems plausible that the effects of heat stress on reducing \( V_{O2max} \) might better explain submaximal aerobic performance impairments. High \( Tsk \) reduces \( V_{O2max} \) as a consequence of high SkBF, which displaces blood to the periphery and reduces cardiac filling, resulting in a reduced maximal cardiac output (\( CO_{max} \)) and thus \( V_{O2max} \) (2, 34, 58, 75, 85, 86, 93, 100). For example, Arrigimsson et al. (2) demonstrated a stepwise reduction in \( V_{O2max} \) and an increase in relative exercise intensity across a range of ambient temperatures (25 to 45°C) that increased \( Tsk \) by almost 6°C in the presence of modest \( Tc \) elevations. Although \( Tc \) plays a minor role in modifying the \( Tsk \)-SkBF relationship when \( Tc \) is high (6, 52), a high \( Tc \) can also reduce cardiac filling by tachycardia when sympathetic activity is high or by direct temperature effects on intrinsic HR (15, 29, 41). The reduction in both \( CO_{max} \) and \( V_{O2max} \) result in a shrinking cardiovascular reserve, which is the primary limiting factor for aerobic exercise performance (3, 19). A greater relative exercise intensity and sensation of effort is required for any given exercise power output (3, 10, 19, 32, 80). As exercise becomes protracted, performance is reduced exponentially according to the formula \( \log_{10}(t) = A \times (load/V_{O2max}) + B \), where \( t \) is endurance time and \( A \) and \( B \) are the slope and intercept relating \( t \) to the \( V_{O2max} \)-specific relative workload (32). Incremental or constant-rate exercise would be more difficult to sustain (earlier fatigue) or would require a slowing of self-paced exercise to achieve a similar sensation of effort.

While a variety of afferent physiological information can be linked to the conscious sense of effort, the prominent contribution of cardiopulmonary factors (HR, respiration, baroreceptors) to RPE during whole body exercise is widely acknowledged (80). Earlier fatigue or slowing of pace can therefore be explained by greater cardiovascular strain (85, 86), elevated relative exercise intensity (3, 19, 32), increased perceived exertion (80), and associated behavioral changes toward sensory optimum (10).

EXERCISE PERFORMANCE WHEN DEHYDRATED

It is established that total body water deficits (dehydration) > 3% (>2% body mass) consistently impair aerobic exercise performance (14, 89, 90, 92). Practically, this means that if a person initiates activity in a normal state of body hydration (euhy-
A greater plasma volume reduction would presumably reduce \( V_{O_{2\text{max}}} \) and aerobic performance proportionally (89).

**SUMMARY**

This minireview evaluates mechanisms by which heat stress and dehydration impair aerobic exercise performance. The physiological mechanism(s) that explain impaired aerobic exercise performance due to the independent and combined effects of heat stress and dehydration are highly circumstantial. The CNS and skeletal muscle systems provide important sensory input to the conscious brain, and there is evidence that each can play a role in impairing aerobic exercise performance during heat and/or dehydration stress. However, the demands and limitations on blood flow implicate a much larger performance contribution by the cardiovascular system. During severe heat stress conditions, physical exhaustion or collapse will occur across a continuum of \( T_c \) (91) and is most likely the result of the severe competition for blood flow, minimal \( T_c - T_{sk} \) gradient, and inability to sustain required blood pressure (34, 37). During submaximal aerobic exercise in hot weather, performance is impaired by both high \( T_{sk} \) and \( T_c \), which combine to reduce \( V_{O_{2\text{max}}} \) (2, 34, 75, 93, 100), and thus increase relative exercise intensity and sensation of effort at any given workload (TTE) (32), or result in a diminished workload (TT) to achieve a similar sensation of effort (22, 28, 94, 97). Significant dehydration (>2% body mass) takes time to develop, but when present it augments hyperthermia (89) and reduces plasma volume (Fig. 3), which independently impact physiology (15, 33) while accentuating the negative performance consequences of heat stress (53).

In this minireview, we provide a pragmatic explanation whereby high \( T_{sk} \) and \( T_c \) impair aerobic performance in tandem primarily through elevated cardiovascular strain, rather than deterioration in CNS function or skeletal muscle metabolism. Well-defined physiological and behavioral concepts support the plausibility of this explanation (3, 10, 19, 28, 30, 32, 44, 80). We emphasize the importance of \( T_{sk} \) (SkBF) in the context of aerobic exercise performance in warm-hot environments, with and without dehydration. We also revisit the important link between physiological strain and rated perceived exertion (10, 30, 80) to more fully explain how heat stress and dehydration act to impair aerobic exercise performance. Future research might consider the implications of this review when designing laboratory experiments to elucidate more precisely the mechanism(s) for impaired aerobic exercise performance during dehydration-heat stress.

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**DISCLOSURES**

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