Postnatal changes in the expression of serotonin 2A receptors in various brain stem nuclei of the rat

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Submitted 18 January 2008; accepted in final form 14 April 2008

Liu Q, Wong-Riley MT. Postnatal changes in the expression of serotonin 2A receptors in various brain stem nuclei of the rat. J Appl Physiol 104: 1801–1808, 2008. First published April 17, 2008; doi:10.1152/japplphysiol.00057.2008.—Previously, we reported a critical period [around postnatal day (P) 12–13 in the rat] in respiratory network development when distinct neurochemical, metabolic, and physiological changes occur. Since serotonin 2A (5-HT2A) receptors play an important role in respiratory modulation, we hypothesized that they may undergo developmental adjustments during the critical period. Semi-quantitative immunohistochemical analyses were conducted in labeled neurons in a number of brain stem nuclei with or without known respiratory functions from P2 to P21 in rats. Our data indicate that the expressions of 5-HT2A receptors in neurons of the pre-Bötzinger complex, the nucleus ambiguus, and the hypoglossal nucleus were maintained within a relatively narrow range between P2 and P21, with a dip at P3–P4 and a significant reduction only at P12. This change was not observed in the nonrespiratory cuneate nucleus. These results suggest that reduced expressions of 5-HT2A receptors only at P12. This change was not observed in the nonrespiratory long-term facilitation (1, 4, 12), and gasping (46).

Previously, we found that a transient neurochemical imbalance exists at and around postnatal day (P) 12 in several rat brain stem nuclei related to respiratory control, including the PBC, Amb, and XII (27, 29, 49). The level of cytochrome oxidase, a metabolic indicator of neuronal activity (48), was also significantly reduced at P12 (25, 27, 28, 49). Around that time, significant changes in normoxic ventilation and hypoxic ventilatory response (HVR) were also evident, and the HVR was at its lowest (26). These findings strongly suggest that the end of the second postnatal week is a critical period of development for brain stem respiratory nuclei in the rat.

Since 5-HT2A receptors play an important role in respiratory modulation, we hypothesize that they may also undergo transient changes during the critical period of postnatal development. The current study was undertaken to test this hypothesis in several brain stem respiratory nuclei of the rat. The cuneate nucleus (CN) was chosen as a negative control, since this nucleus is known for its relay function in somatosensory transduction but is not generally regarded as having any respiratory function.

MATERIALS AND METHODS

Tissue preparation. A total of 120 Sprague-Dawley rats from 16 litters were used. All experiments and animal procedures were performed in accordance with the Guide for the Care and Use of Laboratory Animals (National Institutes of Health), and all protocols were approved by the Medical College of Wisconsin Animal Care and Use Committee. At P2, P3, P4, P5, P7, P8, P9, P10, P11, P12, P13, P14, P15, P16, P17, and P21, rats were deeply anesthetized with 4% chloral hydrate (1 ml/100 g IP; Fisher Scientific, Fair Lawn, NJ) and perfused through the aorta with 4% paraformaldehyde in 0.1 M sodium PBS, pH 7.4, with 4% sucrose. Eight rats from eight different litters were used at each time point for most of the postnatal days, whereas six rats from six different litters were used for each of P8, P9, P15, and P16 samples. After perfusion, brain stems were removed and immersion fixed in the same fixative for 3 h at 4°C. They were then cryoprotected in increasing concentrations of sucrose (10, 20, and 30%) in 0.1 M PBS at 4°C, frozen on dry ice, and stored at −80°C until use.

Immunohistochemistry. Coronal sections of frozen brain stems were cut at 12-μm thickness with a cryostat. Serial sections were mounted on gelatin-coated slides. Sections from three to four rats at different ages were mounted on the same slides so that they might be processed together. Ages were typically grouped as follows: P2-10, P3-4-5-17, P7-8-9, P11-12-13, and P14-15-16. All sections from all animals were processed under identical conditions (i.e., time, temperature, and concentration of reagents). They were blocked

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overnight at 4°C with 5% nonfat dry milk-5% normal rabbit serum-1% Triton X-100 in 0.1 M PBS (pH 7.4). Sections were then incubated at 4°C for 36 h in the primary antibodies (IgG derived from goat) against 5-HT2A receptors (Santa Cruz Biotechnology, Santa Cruz, CA), diluted at 1:100 in the same solution as used for blocking. Sections were incubated in the secondary antibodies: rabbit anti-goat IgG-horseradish peroxidase (Chemicon, Temecula, CA) at 1:100 dilution in the modified blocking solution (without Triton X-100) for 4 h at room temperature. Immunoreactivity was detected with 0.05% DAB-0.004% H2O2 in PBS (pH 7.4) for 5 min, and the reaction was stopped with cold PBS (pH 7.4). Sections were then washed with cold 0.1 M PBS (pH 7.4) three times, dehydrated, and coverslipped. Control sections were processed without the primary antibodies.

For estimates of the percentage of immunoreactive neurons in a specific nucleus, alternate sections were processed with Nissl, which stained all neuronal cell bodies.

Quantitative densitometry. The expression of 5-HT2A receptors in the cytoplasm of neurons in various nuclei studied was semi-quantitatively analyzed by optical densitometric measurements of reaction product of immunohistochemistry, performed with a Zeiss Zonax MPM 03 photometer, a ×25 objective, and a 2-μm-diameter measuring spot. White (tungsten) light was used for illumination, and all lighting conditions were held constant for all of the measurements. Since light intensity can directly affect optical densitometric values, a stepped density filter (Edmund Industrial Optics, Barrington, NJ), with 10-step increments of 0.1 from 0.1 to 1, was used to precisely adjust the intensity of the light source to a standard value identical for all samples. The boundary of each brain stem nucleus studied was determined with the aid of the Paxinos and Watson’s The Rat Brain Atlas (New York: Academic, 1986), and many of them, including the PBC that was identifiable with the neurokinin-1 receptor (NK1R) labeling, were described in our previous studies (27–29). The optical densitometric value of each labeled neuron in the various brain stem nuclei studied was an average reading of two to four spots in the cytoplasm of its cell body. Only those neurons whose nuclei are clearly visible (i.e., sectioned through the middle of the cell body) were measured. To avoid measuring the same neuron more than once, values were taken from cells in sections at least 70 μm apart, since the largest neurons had a maximal diameter of 25–30 μm, with a maximal nuclear diameter of only 10 μm. The relative thinness and crisscrossing of the processes in the neuropil rendered them impossible to be measured accurately with a 2-μm-diameter measuring spot. Thus the processes and neuropil in general were described only qualitatively with respect to the intensities of their labeling. About 100 neurons in each brain stem nucleus were examined for each rat, and a total of 800 neurons at each age for each nucleus were measured (for P8, P9, P15, and P16, a total of 600 neurons were measured for each nucleus at each time point). For statistical analysis, each sample’s optical density value for each nucleus of each rat was the average of 100 neurons. Thus the sample number of each time point in each nucleus is eight or six in Fig. 5. Mean optical density values, standard deviations, and standard errors of the means in each nucleus at each age were then obtained. Statistical comparisons were made among the age groups by using one-way ANOVA (to control for the type I comparison-wise
error rate), and when significant differences were found, comparisons were made between successive age groups (e.g., P2 vs. P3, P3 vs. P4, and P5 vs. P7) by using Tukey’s Studentized range test (a post hoc multiple comparisons test to control for the type I experiment-wise error rate). Significance was set at $P < 0.01$ for one-way ANOVA and $P < 0.05$ for Tukey’s test. The Bonferroni multiple comparison’s test was also done, and it showed comparable results as the Tukey’s test.

The part of the Amb chosen for the present study (and our previous studies) was the semicompact formation and rostral loose formation innervating upper airway muscles and representing pharyngolaryngomotor functions (3). For the remaining nuclei, measurements were made from the central main portion of each nucleus.

RESULTS

In general, 5-HT$_{2A}$ receptor (R)-immunoreactive (ir) product was clearly visible in subpopulations of neurons in each of the brain stem nuclei studied. The size of 5-HT$_{2A}$R-ir neurons increased with age and was relatively stable after P10 to P11 (Figs. 1–4). The neuropil of all brain stem nuclei examined exhibited relatively stable expression of 5-HT$_{2A}$R during the first three postnatal weeks, with minor fluctuations and some depressions at P3–P4, P12–P13, and P17, as well as some elevations at P14–P15. Control sections showed no specific labeling above background (data not shown). Quantitative analysis with ANOVA indicated significant differences in the intensities of immunolabeling among ages in neurons of the PBC, Amb, and XII ($P < 0.01$), but not in the CN. Tukey and Bonferroni tests for adjacent age comparisons were then done for the first three nuclei.

5-HT$_{2A}$R-ir neurons in the PBC. 5-HT$_{2A}$R-ir was observed in ~50% of neurons in the PBC. Labeled neurons were distributed evenly within the PBC, and immunoreactivity was present in the cytoplasm, proximal processes, and the rest of the neuropil (Fig. 1). Labeled neurons were multipolar, fusiform, or oval in shape, and mainly small or medium in size (Fig. 1). The size of small neurons ranged from 6.5 to 8.5 $\mu$m in diameter at P2 to P8 to 10.5 $\mu$m at P21, whereas mediumsized neurons ranged from 10 to 11.5 $\mu$m at P2 to P11 to 16 $\mu$m at P21. The expression of 5-HT$_{2A}$R in the cytoplasm of neurons exhibited a relative plateau from P2 to P11, with a slight but statistically insignificant dip at P3 to P5 (Fig. 5A). However, a significant decrease in 5-HT$_{2A}$R-ir (by ~25% from P11) occurred at P12 ($P < 0.05$), at which time the level was the lowest during the first three postnatal weeks, followed by smaller fluctuations until P21 (Figs. 1 and 5A). P12 was the only time point in the 3 wk that showed statistical significance with the Tukey’s test.

Fig. 2. 5-HT$_{2A}$R-ir neurons in the rat nucleus ambiguus (Amb) at P2 (A), P7 (B), P10 (C), P12 (D), P14 (E), and P21 (F). The inset in A indicates the location of Amb in a diagrammatic cross section of the medulla. The expression of 5-HT$_{2A}$R in the cytoplasm of neurons was relatively high at P2, P7, and P10, followed by a significant decrease at P12, then a rise at P14, followed by a reduction until P21. The black arrows indicate 5-HT$_{2A}$-ir neurons distributed along the boundary of the Amb.
5-HT2A-ir neurons in the upper airway representation of the Amb. 5-HT2A-ir was observed in ~80–90% of neurons in the Amb. Labeled neurons were medium to small in size and mainly multipolar in shape (Fig. 2). The size of small neurons ranged from 7.5 to 9.5 μm in diameter at P2 to 9 to 12.5 μm at P21, whereas medium-sized neurons ranged from 10 to 12.5 μm at P2 to 13.5 to 16 μm at P21. Occasionally large neurons were labeled (16.5–22 μm in diameter at P11–P21). The expression of 5-HT2A in the cytoplasm of labeled neurons was relatively stable from P2 to P11, with a small but statistically insignificant peak at P7 (Fig. 5B). However, a significant drop in 5-HT2A-ir (by ~28% from P11) occurred at P12 (P < 0.05), followed by a significant increase at P13 (P < 0.05) to the P11 level, and a plateau thereafter (Figs. and 5C). P12 and P13 were the only time points in the 3 wk that showed statistical significance with the Tukey’s test.

5-HT2A-ir neurons in the XII. 5-HT2A-ir immunoreactivity was evident in ~35–50% of neurons in the XII. Labeled neurons were small or medium in size and multipolar or fusiform in shape (Fig. 3). The size of small neurons ranged from 5 to 8.5 μm in diameter at P2 to 7 to 10.5 μm at P21, whereas medium-sized neurons ranged from 10 to 12 μm at P2 to 11 to 14 μm at P21. The expression of 5-HT2A in the cytoplasm of labeled neurons was quite stable from P2 to P11, with a slight but statistically insignificant dip at P3 to P5 (Fig. 5C). However, a significant reduction (by ~23% from P11) was noted at P12 (P < 0.05), when the value reached its lowest during the first 3 wk of life, followed by a significant increase at P13 (P < 0.05) to the P11 level, and a plateau thereafter (Figs. and 5C). P12 and P13 were the only time points in the 3 wk that showed statistical significance with the Tukey’s test.

5-HT2A-ir neurons in the CN. 5-HT2A-ir was evident in ~35–50% of neurons in the CN. Labeled neurons were small or medium in size and multipolar in shape (Fig. 4). The size of small neurons ranged from 5 to 8.5 μm in diameter at P2 to 7 to 10.5 μm at P21, whereas medium-sized neurons ranged from 10 to 12 μm at P2 to 11 to 14 μm at P21. The expression of 5-HT2A in the cytoplasm of labeled neurons was relatively stable from P2 to P21 but with minor fluctuations that were not statistically significant (Figs. 4 and 5D). No prominent dip in 5-HT2A-ir was noted in neuronal cell bodies at or around P12.

DISCUSSION

Our current data indicate that the expressions of 5-HT2A receptors in neurons of the PBC, the Amb, and the XII were maintained within a narrow range between P2 and P21, with a
dip at P3–P4 and a significant reduction only at P12. This change was not observed in the CN.

Activation of 5-HT_2A receptors is critical for the generation of respiratory rhythm in vitro (36). In vivo, it appears that intraperitoneal application of a 5-HT_2A/2C receptor agonist depresses respiratory frequency and tidal volume in the newborn (P0–P3) but increases the frequency (but still suppresses tidal volume) in the adult (6). It is not known whether the effect is via the 2A or 2C receptor, or both, or the exact site of action, or when the switch occurs. Additionally, endogenous 5-HT_2A receptor activity may also play an important role in the recovery of network rhythmicity and eupneic activity after hypoxic exposure (46). Several studies have indicated that 5-HT_2A receptor activity was important for long-term facilitation of phrenic activity after intermittent hypoxia (1, 12). Alterations of 5-HT_2A receptors may also be involved in respiratory disorders, such as sudden infant death syndrome (SIDS), in which serotonin receptor (including 5-HT_2A receptor) binding in several brain stem nuclei (such as the arcuate nucleus, raphé obscurus, inferior olive, and intermediate reticular zone) were significantly decreased (22, 34). The immunoreactive expression of 5-HT_2A receptors in SIDS was also reduced in the dorsal motor nucleus of the vagus, the solitary tract nucleus, and the ventrolateral medulla, where PBC and Amb are located (33).

The PBC was presumed to contribute to respiratory rhythmogenesis (38, 41, 42). The activation of 5-HT_2A receptors in the PBC is reportedly required for the generation of the respiratory rhythm in vitro (36). Activation of 5-HT_2A can increase the frequency of respiratory activity, whereas the blockade of 5-HT_2A receptors decreases the frequency, amplitude, and regularity of populational respiratory activity (36). Cadmium-insensitive (CI) pacemakers in the PBC presumably rely on the persistent sodium current, which requires endogenously activated 5-HT_2A receptors for maintaining fictive respiratory activity in the brain stem slice by modulating sodium conductance via a protein kinase C (PKC) pathway (36). Blockade of endogenous 5-HT_2A receptor activity can eliminate CI-pacemaker activity and fictive gasping, suggesting that 5-HT_2A receptor activity and CI-pacemaker activity are required for fictive gasping rhythm generation in vitro (46). Activation of 5-HT_2A receptors in the PBC is reportedly critical for gasping (46), a presumed major mechanism for autoresuscitation from hypoxic respiratory arrest (13). Failure of such autoresuscitation may underlie SIDS (43, 46). On the other hand, other studies showed that the blockade of 5-HT_2A receptors did not eliminate fictive eupnea and gasping activity (45), although it did impair them when applied during the hypoxic challenge (44). Whether decreased 5-HT_2A receptors’
The XII motoneurons innervate the tongue musculature and play an important role in maintaining upper airway patency during respiration (16). A loss of the XII motoneuronal activity may result in obstructive apnea (39). Serotonergic neurons in the caudal medullary raphe innervate the XII motoneurons (30) and exert excitatory effects on them (5, 10, 24). This is reportedly mediated by 5-HT2A receptors, which are the predominant serotonin receptor subtype in the XII motoneurons (10, 50). The activity of brain stem serotonin neurons is highest in wakefulness, reduced in non-rapid-eye-movement (NREM) sleep and minimal in REM sleep (15, 23). The genioglossus (GG) muscles of the tongue are critical in obstructive sleep apnea (OSA) in humans (16, 18). Once GG activity is suppressed in REM sleep, it is difficult to reactivate the muscle, even in the presence of high respiratory drive (17). Thus during REM sleep, the XII motoneurons’ activity is decreased by the withdrawal of serotonin (when medullary raphe neurons are silent), which renders the upper airway particularly vulnerable to collapse (15), and OSA may occur. In some SIDS cases, episodic airway obstruction was found during sleep before death (14, 22). Our data suggest that, during the critical period (around P12–P13 in rats), the upper airway may be even more vulnerable to collapse due to the reduction in 5-HT2A receptors’ expression in the XII as well as in the Amb (see below).

The Amb is involved in controlling the upper airway via the innervation of pharyngolaryngeal and laryngeal muscles (3, 21). Serotonin has excitatory effects on the laryngeal motoneurons, and excitation can be abolished by methysergide, a blocker of multiple 5-HT receptors (11). 5-HT2 receptor agonist can reversibly and transiently excite central fictive inspiratory activity, but multiple applications of such an agonist leads to long-lasting inhibition of fictive inspiration-related GABAergic neurotransmission to cardioinhibitory vagal neurons in the Amb (8); however, it is not clear whether the action is mediated via 2A or 2C, or both. An attenuation in the expression of 5-HT2A receptors during the critical period, as we observed in the present study, may contribute to the loss of muscle tone in maintaining upper airway patency during sleep. It may also lead to atypical regulation of inspiratory activity as well as cardiovascular activity.

To our knowledge, there are no comparable detailed studies to date on the expression of 5-HT2A receptors in brain stem...
nuclei involved in the control of respiration. Roth et al. (40) reported that 5-HT$_{2A}$ (called 5-HT$_2$ in previous studies) receptor binding sites in the whole brain increased 8-fold from embryonic day 17 (E17) to P13, whereas the brain mRNA levels increased 13-fold between E17 and P5 and were reduced by 50% after P25–P27. By examining the 5-HT$_{2A}$ receptor-induced phosphatidyl inositol breakdown in the rat cortex, Claustre et al. (7) demonstrated that these receptors appeared to be functional in young immature (P8) rat cortex, and the stimulating effect of 5-HT was six times greater in immature than in adult rats. These two sets of data (7, 40) were obtained from whole brain or brain regions (such as whole medulla-pons or cortex). Thus they did not distinguish 5-HT$_{2A}$ receptor expression within distinct nuclei or neuronal populations. Volgin et al. (47) examined only five time points (P5, P8, P12, P15, and P19), with one animal per time point, for the expression of 5-HT$_{2A}$ receptor immunoreactivity in the XII. They reported a steady increase in the intensity of labeling from P5 to P15 before tapering off to adult levels by P19. However, they did not examine P11 and P13, so the dip that we observed in the present study at P12 probably escaped their detection. Moreover, their immuno-density values were taken from the entire XII nucleus rather than from individual neurons and probably included non-labeled structures such as nuclei of neurons and glia, bundles of myelinated and unmyelinated axons, and blood vessels in the neuropil. Interestingly, their mRNA data are more comparable to our present data on XII nucleus, with low values at P3 and P5, essentially a plateau between P7 and P33, and a dip at P14 (80% of the plateau level). However, due to the limited number of animals used (1–2 per time point), the change was not statistically significant at P14.

The significant reduction in the expression of 5-HT$_{2A}$ receptors at P12 in the PBC, Amb, and XII may be related to a concurrent and significant increase in GABA$_A$ receptor expression in the same nuclei reported previously (27, 29), since GABA$_A$ receptor activation is postulated to inhibit 5-HT release (32). Thus reduction in 5-HT$_{2A}$ receptor expression contributes further to transient neurochemical imbalance at P12 (27, 28, 29, 49).

The suppressed expression of 5-HT$_{2A}$ receptors in the PBC at P12 may reduce the firing frequency, amplitude, and regularity of cadmium-insensitive pacemaker neurons that exhibit persistent sodium current in the PBC, since these neurons rely on 5-HT$_{2A}$ receptors for their normal functioning (36). Reduced 5-HT$_{2A}$ receptor expression in the XII and Amb may lead to attenuated airway patency (10, 11) at P12. When challenged with a respiratory stressor, such as hypoxia, reduced 5-HT$_{2A}$ receptor expression in various brain stem nuclei may contribute to attenuated HVR observed during the critical period of respiratory network development (at the end of the second postnatal week) in the rat (26). It will also increase the risk of reduced respiratory long-term facilitation (1, 4, 12) and ability to gasp (46) at the same time.

GRANTS

This study was supported by National Institute of Child Health and Human Development Grant HD-048954 and a grant from the Children’s Hospital and Health System Foundation, Milwaukee, WI.

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